

## Introduction to Engineering Materials

### Metal and Ceramic Structures

#### Part 1

- Defining ordered atoms in crystalline solids.  
Unit cells, unit vectors, Coordinates, directions, planes, close packing...
- Densities: Crystal density, Line density, Planar density
- Crystal symmetry and families
- Crystallography

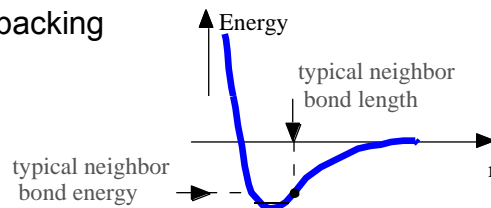
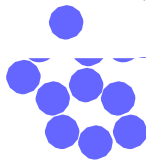
#### Part 2

- Ionic crystals.
- Lattice energy.
- Silica & silicates.
- Carbon

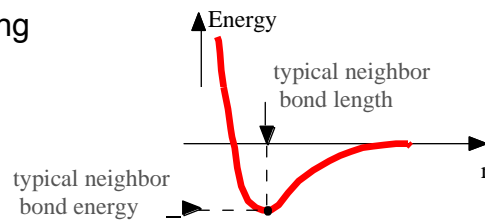
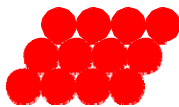
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## ENERGY AND PACKING

- Non dense, **random** packing



- Dense, **regular** packing



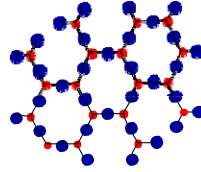
Dense, regular-packed structures tend to have lower energy.

2

# Packing atoms together

## Crystalline materials...

- atoms pack in periodic, 3D arrays
- typical of:
  - metals
  - many ceramics
  - some polymers

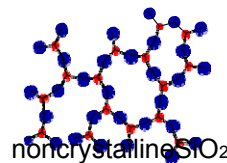


crystalline SiO<sub>2</sub>.



## Noncrystalline materials...

- atoms have no periodic packing
- occurs for:
  - complex structures
  - rapid cooling

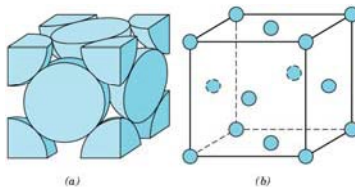


noncrystalline SiO<sub>2</sub>

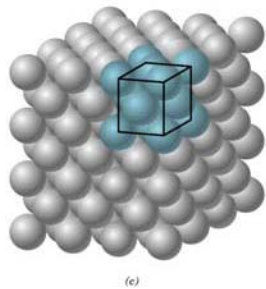
"Amorphous" = Noncrystalline

3

# Crystalline materials: Unit Cell



**FIGURE 3.1** For the face-centered cubic crystal structure: (a) a hard sphere unit cell representation, (b) a reduced-sphere unit cell, and (c) an aggregate of many atoms. (Figure c adapted from W. G. Moffatt, G. W. Pearsall, and J. Wulff, *The Structure and Properties of Materials*, Vol. 1, *Structure*, p. 51. Copyright © 1964 by John Wiley & Sons, New York. Reprinted by permission of John Wiley & Sons, Inc.)



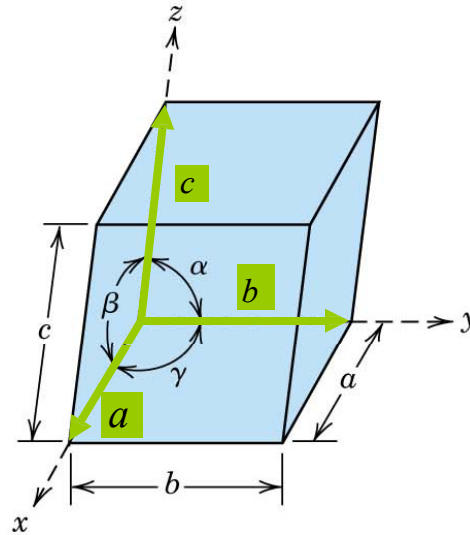
(c)

**Unit Cell:** The basic structural unit of a crystal structure. Its geometry and atomic positions define the crystal structure.

**Note:** more than one unit cell can be chosen for a given crystal but by convention/convenience the one with the highest symmetry is chosen.

4

# Unit cells and unit vectors





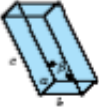

**Lattice parameters**  
 axial lengths:  $a, b, c$   
 interaxial angles:  $\alpha, \beta, \gamma$   
 unit vectors:  $\hat{a} \hat{b} \hat{c}$

In general:  $a \neq b \neq c$   
 $\alpha \neq \beta \neq \gamma$

# Unit Cells: Bravais Lattices

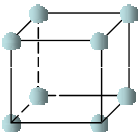
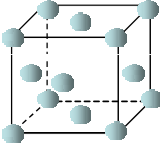
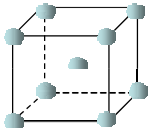
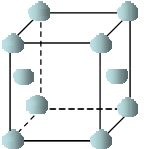
Table 3.2 Lattice Parameter Relationships and Figures Showing Unit Cell Geometries for the Seven Crystal Systems

Crystal System	Axial Relationships		Unit Cell Geometry
	Relationships	Interaxial Angles	
Cubic	$a = b = c$	$\alpha = \beta = \gamma = 90^\circ$	
Hexagonal	$a = b \neq c$	$\alpha = \beta = 90^\circ, \gamma = 120^\circ$	
Tetragonal	$a = b \neq c$	$\alpha = \beta = \gamma = 90^\circ$	

Rhombohedral (trigonal)	$a = b = c$	$\alpha = \beta = \gamma \neq 90^\circ$	
Orthorhombic	$a \neq b \neq c$	$\alpha = \beta = \gamma = 90^\circ$	
Monoclinic	$a \neq b \neq c$	$\alpha = \gamma = 90^\circ \neq \beta$	
Triclinic	$a \neq b \neq c$	$\alpha \neq \beta \neq \gamma \neq 90^\circ$	

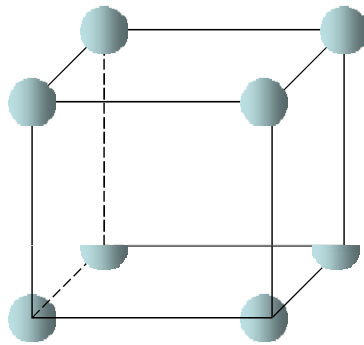
7

## Unitcelltypes

	Primitive		Face-centered
	Body-centered		End-centered

8

# Number of atoms in a unit cell



## Simple cubic

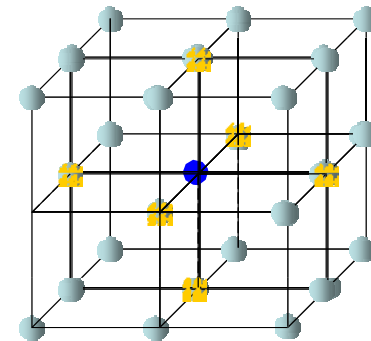
8 atoms but each atom is shared by 8 unit cells.

→ 1 atom per unit cell

9

# Coordination Number

Number of nearest neighbor atoms



Simple cubic: coordination number = 6

10

# Atomic Packing Factor (APF)



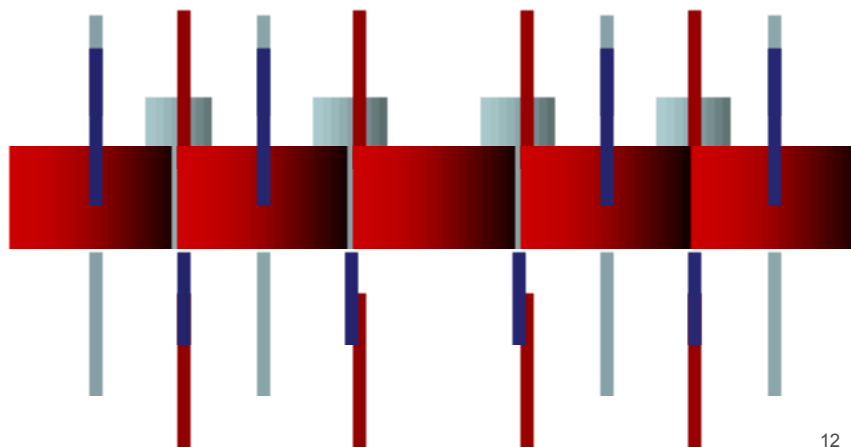
Dependson:

- Crystalstructure.
- How“close”packedthe atoms are.
- Insimpleclose-packedstructureswithhard sphereatoms,independentofatomicradius

11

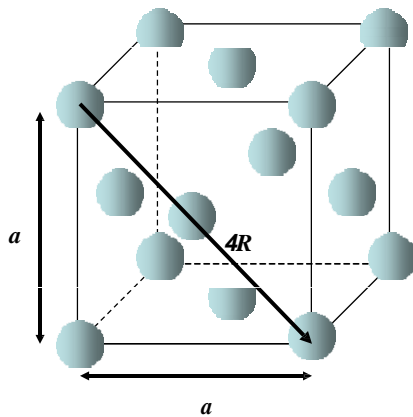
# Closepackingofatoms

Consider atoms as hard spheres.



12

### Face-CenteredCubic (FCC)

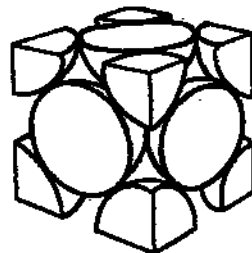
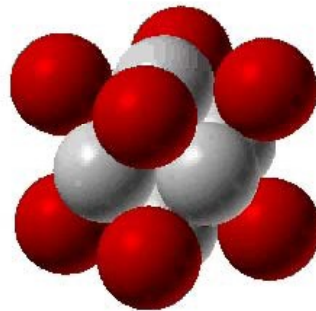
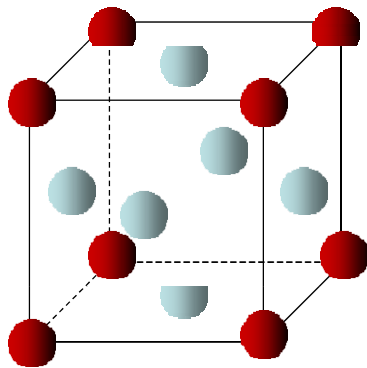


Atomsatthecornersofthecube  
+  
Atomsatthecenterofeachface

$a = ||\text{unit vector}||$   
 $R = \text{atomic radius}$   
 $a^2 + a^2 = (4R)^2$   
 $a = 2\sqrt{2}R$

13

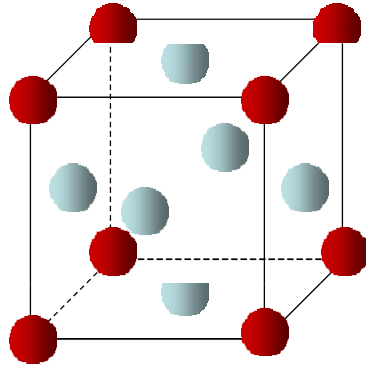
### Face-CenteredCubic (FCC)



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14

## Number of atoms in an FCC unit cell



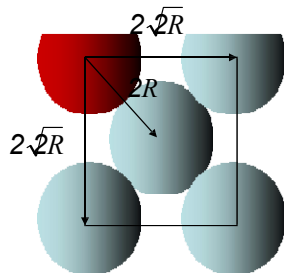
- Each corner atom contributes as  $1/8$ . There are 8 corner atoms in an FCC unit cell.

- Each face atom contributes as  $1/2$ . There are 6 face atoms.

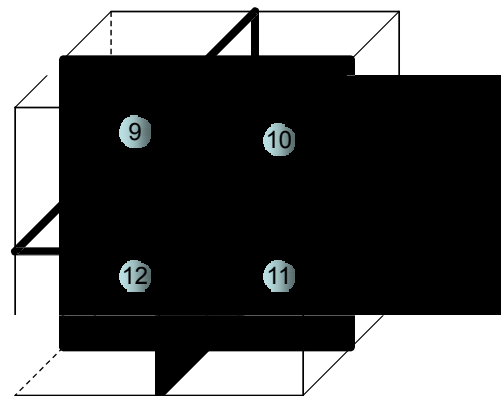
$$\frac{1}{8} \times 8(\text{corner\_atoms}) + \frac{1}{2} \times 6(\text{face\_atoms}) = 4 \text{ atoms/unit\_cell}$$

15

## Coordination number for FCC



Total 12 nearest neighbor atoms  
**Coordination number = 12**



16



### Atomic packing factor (APF) for FCC

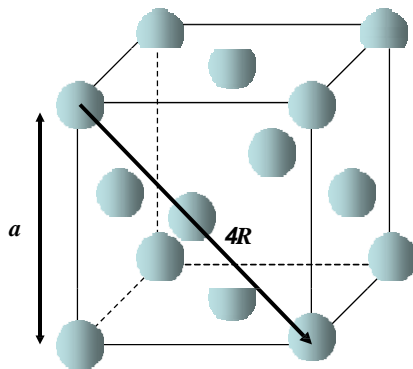
$V_{atoms} = 4 \left( \frac{4}{3} \pi R^3 \right)$   
 $V_{unit\_cell} = a^3 = (2\sqrt{2}R)^3 = 16\sqrt{2}R^3$

$$APF = \frac{V_{atoms}}{V_{unit\_cell}} = \frac{4 \left( \frac{4}{3} \pi R^3 \right)}{16\sqrt{2}R^3} = \frac{\pi\sqrt{2}}{3} \approx 0.74$$

**Independent of R and a!**

17

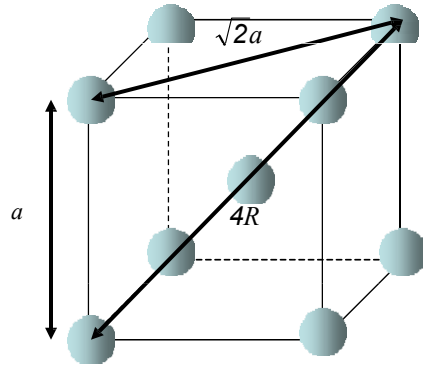
### Summary for FCC



- ||unitvector|| =  $a = 2\sqrt{2}R$
- 4 atoms/unit cell
- Coordination number = 12
- APF = 0.74

18

### Body-CenteredCubic (BCC)



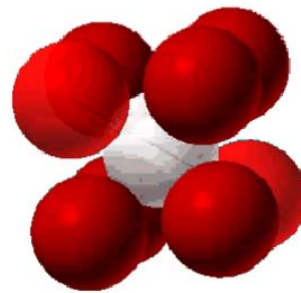
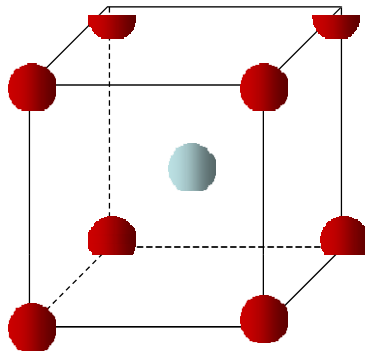
Atomsatthecornersofthecube  
+  
Atomatthecenterofthecube

$$a^2+(\sqrt{2}a)^2=(4R)^2$$

$$a=\frac{4R}{\sqrt{3}}$$

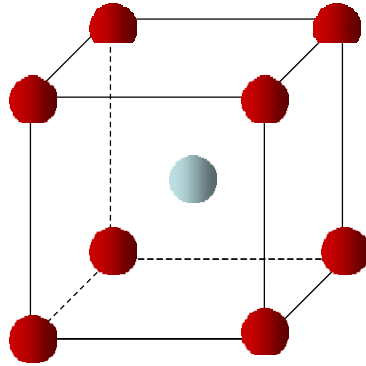
19

### Body-CenteredCubic (BCC)



20

## Number of atoms in a BCC unit cell



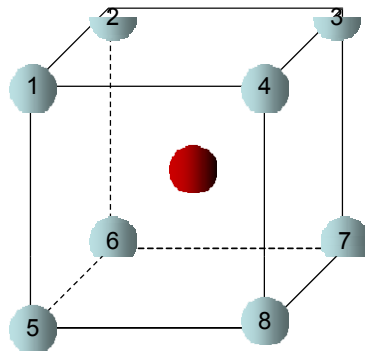
- Each corner atom contributes as  $1/8$ . There are 8 corner atoms in an FCC unit cell.

- The center atom contributes as 1. There is only 1 center atom.

$$\frac{1}{8} \times 8(\text{corner\_atoms}) + 1 \times 1(\text{center\_atom}) = 2 \text{ atoms/unit\_cell}$$

21

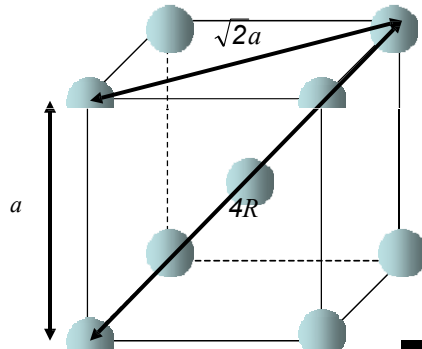
## Coordination number for BCC



Total 8 nearest neighbor atoms  
**Coordination number = 8**

22

### Atomic packing factor (APF) for BCC

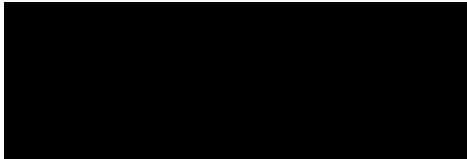


$$V_{atoms} = 2 \left[ \frac{4}{3} \pi R^3 \right]$$

$$a^2 + (\sqrt{2}a)^2 = (4R)^2$$

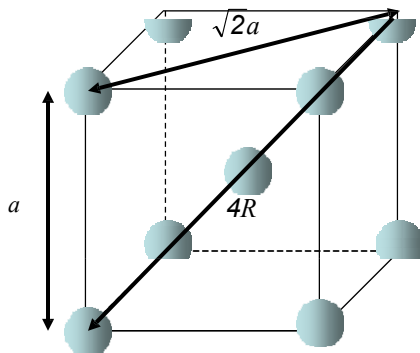
$$a = \frac{4R}{\sqrt{3}}$$

$$V_{unit\_cell} = a^3 = \left( \frac{4R}{\sqrt{3}} \right)^3 = \frac{64R^3}{3\sqrt{3}}$$



23

### Summary for BCC



$$\|\text{unit vector}\| = a = \frac{4R}{\sqrt{3}}$$

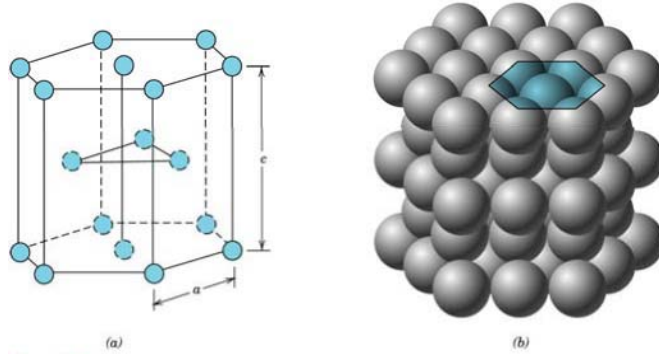
2 atoms/unit cell

Coordination number = 8

APF = 0.68

24

## Hexagonal Close-Packing (HCP)



**FIGURE 3.3** For the hexagonal close-packed crystal structure, (a) a reduced-sphere unit cell ( $a$  and  $c$  represent the short and long edge lengths, respectively), and (b) an aggregate of many atoms. (Figure  $b$  from W. G. Moffatt, G. W. Pearsall, and J. Wulff, *The Structure and Properties of Materials*, Vol. 1, *Structure*, p. 51. Copyright © 1964 by John Wiley & Sons, New York. Reprinted by permission of John Wiley & Sons, Inc.)

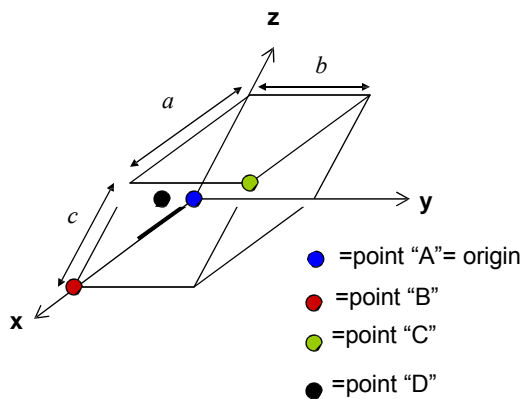
Find  $a, c$ , number of atoms/unit cell, coordination number, and APF for HW.

25

## Point Coordinates

to define a point within a unit cell...

Essentially same as Cartesian coordinates except values of  $x$ ,  $y$ , and  $z$  are expressed as fractions of the magnitude of unit vector(s) (and  $x, y$ , and  $z$  not necessarily orthogonal).



pt.coord.

	$x(a)$	$y(b)$	$z(c)$
A	0	0	0
B	1	0	0
C	1	1	1
D	1/2	0	1/2

26

# Crystallographic Directions

To define a vector:

1. Start at the origin.
2. Determine length of vector projection in each of 3 axes in units (or fractions) of  $a$ ,  $b$ , and  $c$ .
3. Multiply or divide by a common factor to reduce the length to the smallest integer values.
4. Enclose in square brackets:  $[uvw]$  where  $u$ ,  $v$ , and  $w$  are integers.

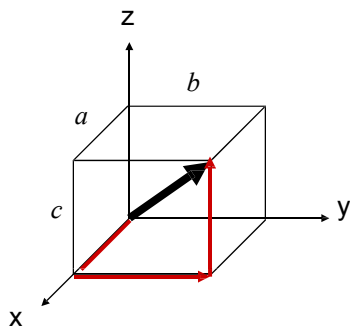
Along unit vectors:  $a$        $b$        $c$

Note: in any of the 3 directions there are both positive and negative directions. Negative directions are denoted with a "bar" over the number.

e.g.  $[1\bar{1}1]$

27

Example 1: Assign the coordinates to the following crystallographic direction



Along x: 1  $a$

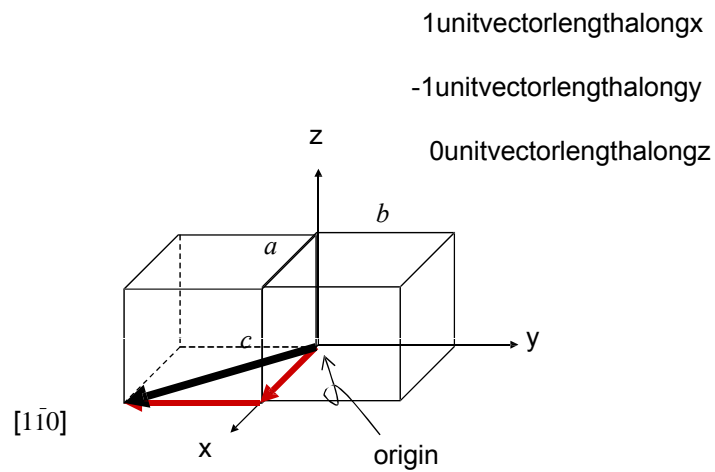
Along y: 1  $b$

Along z: 1  $c$

**$[111]$**

28

Example 2: draw  $[1\bar{1}0]$  direction.



29

**Note:** for some crystal structures, different directions can be equivalent.

e.g. For cubic crystals:

$[100], [1\bar{0}0], [010], [0\bar{1}0], [001], [00\bar{1}]$  are all equivalent

**Families of crystallographic directions**

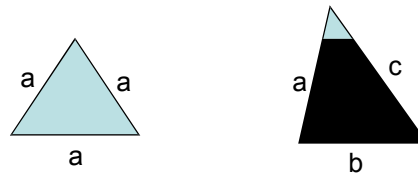
e.g.  $\langle 100 \rangle$

Angled brackets denote a family of crystallographic directions.

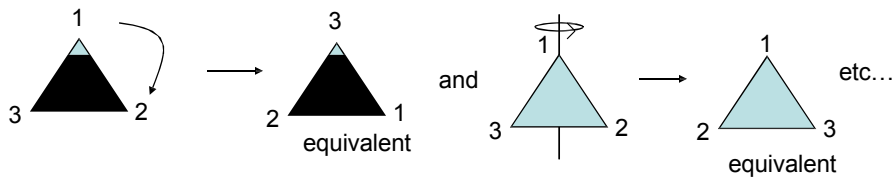
30

# Families and Symmetry

- Which directions belong in the same family is determined by the crystal symmetry.
- What is symmetry?
- Which one is more symmetric?



- The more symmetry operations there are the more symmetric it is.

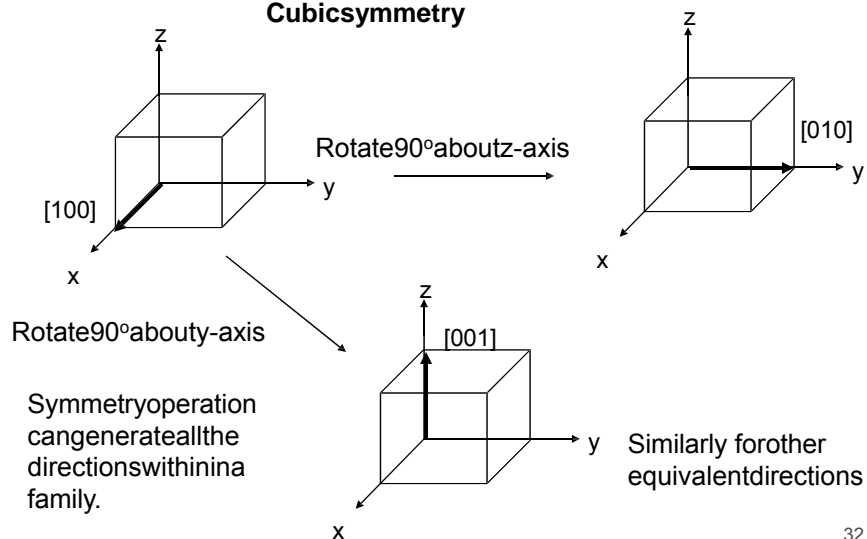


- Which is more symmetric, cubic or tetragonal?

31

# Families and Symmetry

## Cubic symmetry



32



# Crystallographic directions for hexagonal crystals

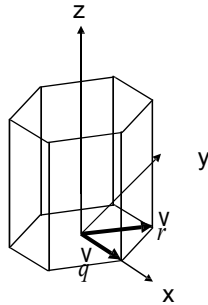
Consider vectors  $q$  and  $r$ ....

If we keep the 3-coordinate system:

$$q = [10\ 0]$$

$$r = [110]$$

Different set of indices. However, these two vectors are equivalent by symmetry (i.e. via 60° rotation).



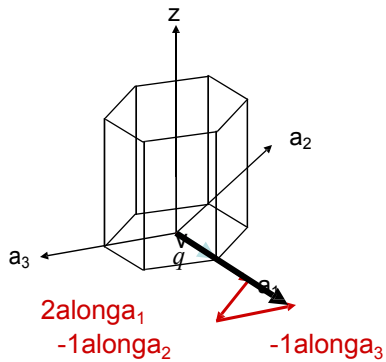
**Choose a 4-coordinate system!**

33

# Crystallographic directions for hexagonal crystals

In the **Miller-Bravais** (4 axes) coordinate system, we have:

$$q = [\bar{2}\ 1\ \bar{1}\ 0]$$



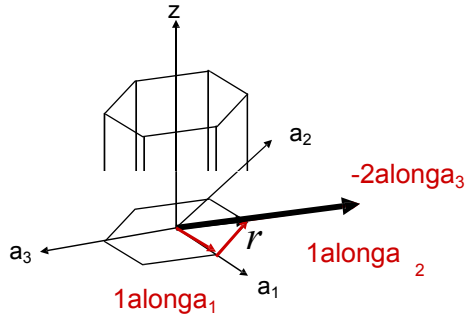
Although the lengths are different, the direction is the same.

34

## Crystallographic directions for hexagonal crystals

Similarly for  $r$ , in the **Miller-Bravais** (4 axes) coordinate system, we now have:

$$r = [11\bar{2}0]$$



Again, consistent direction.

35

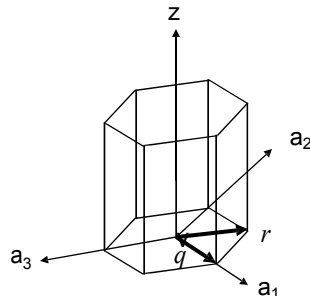
## Crystallographic directions for hexagonal crystals

**Miller-Bravais** (4 axes) coordinate system

We now have:

$$q = [21\bar{1}0]$$

$$r = [11\bar{2}0]$$



Indices are now consistent within the family, but where do these numbers come from?

36

## To transform 3 indices to 4 indices

$$[u'v'w'] \longrightarrow [uv tw]$$

$$u = 1/3(2u' - v')$$

$$v = 1/3(2v' - u')$$

$$t = -(u+v)$$

$$w = w'$$

May need to factor to reduce  $u, v, t$ , and  $w$  to their smallest integers.

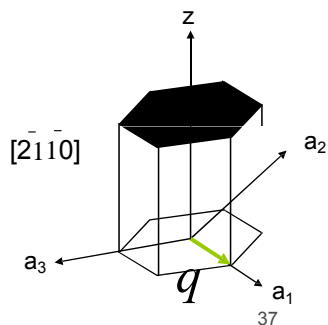
e.g. convert  $q = [10\ 0]$  to 4-coord. system.

$$u = 1/3(2 \times 1 - 0) = 2/3 \quad \xrightarrow{\times 3} \quad 2$$

$$v = 1/3(2 \times 0 - 1) = -1/3 \quad \xrightarrow{\times 3} \quad -1$$

$$t = -(2/3 + (-1/3)) = -1/3 \quad \xrightarrow{\times 3} \quad -1$$

$$w = 0 \quad \xrightarrow{\times 3} \quad 0$$



## transforming 3 indices to 4 indices

convert  $r = [110]$  to 4-coord. system.

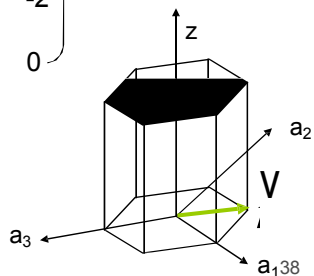
$$u = 1/3(2 \times 1 - 1) = 1/3$$

$$v = 1/3(2 \times 1 - 1) = 1/3$$

$$t = -(1/3 + 1/3) = -2/3$$

$$w = 0$$

$$\begin{array}{l} \xrightarrow{\times 3} \quad 1 \\ \xrightarrow{\times 3} \quad 1 \\ \xrightarrow{\times 3} \quad -2 \\ \xrightarrow{\times 3} \quad 0 \end{array} \quad \left. \vphantom{\begin{array}{l} \xrightarrow{\times 3} \\ \xrightarrow{\times 3} \\ \xrightarrow{\times 3} \\ \xrightarrow{\times 3} \end{array}} \right\} [112\bar{0}]$$



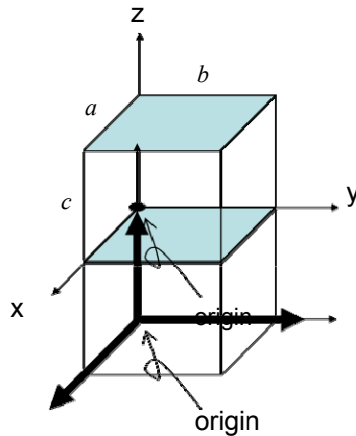
# Crystallographic Planes

To define a plane (i.e. to assign **Miller Indices**):

1. If the plane passes through the origin,

either

- a) Construct a parallel plane translated
- or
- b) choose another origin at the corner of adjacent unit cell



2. Now, the plane either intersects or is parallel to each of the three axes.

3. Determine length in terms of lattice parameters  $a$ ,  $b$  and  $c$ .

Parallel to x-axis =  $\infty$  length along x

Parallel to y-axis =  $\infty$  length along y

Intercept z-axis at  $z=c$

39

# Crystallographic Planes

4. Take the reciprocal of the lengths

e.g. Along x-axis: length =  $\infty$   $\longrightarrow$  0

Along y-axis: length =  $\infty$   $\longrightarrow$  0

Along z-axis: length = 1  $\longrightarrow$  1

5. If necessary, factor to get the smallest integers.

e.g. if we had 0, 0, 3  $\xrightarrow{\text{dividethroughby3}}$  0, 0, 1

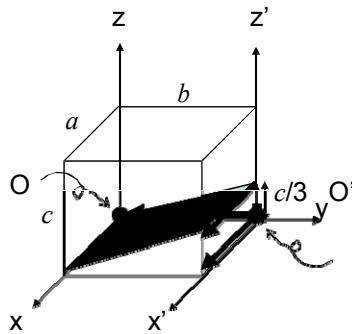
6. Enclose indices in parentheses w/o commas

In the example on previous slide, the Miller index would be **(001)**

40

# Example 1

Assign Miller indices



1. Shift origin from O to O'

2. Determine lengths along each axis

Along  $x = \infty$

Intersects at -1

Intersects at 1/3

3. Take the reciprocal: 0, -1, 3

4. No need to factor.

5.  $(0 \bar{1} 3)$

41

# Example 2

Draw the  $(0 1 \bar{1})$  plane.

1. along  $x = 0$

The plane is parallel to the x-axis

2. along  $y = -1$  (in units of  $b$ )

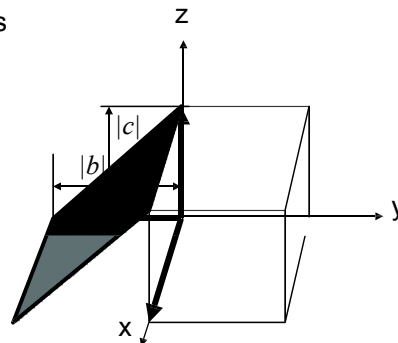
take reciprocal: -1

y-intercept at -1

3. along  $z = 1$  (in units of  $c$ )

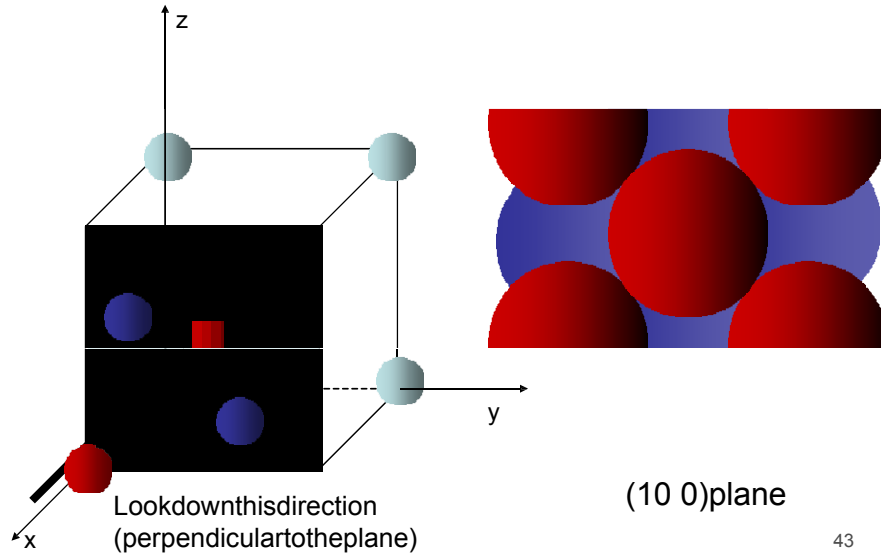
take reciprocal: 1

z-intercept at 1

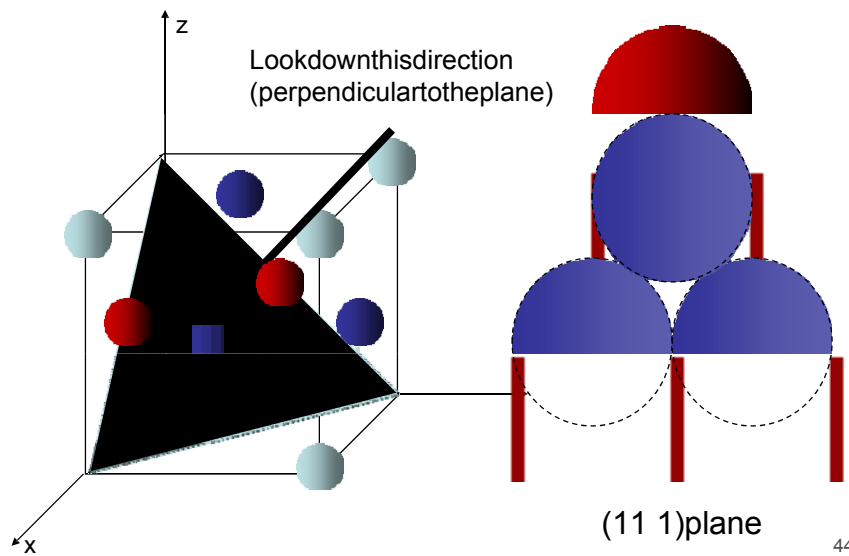


42

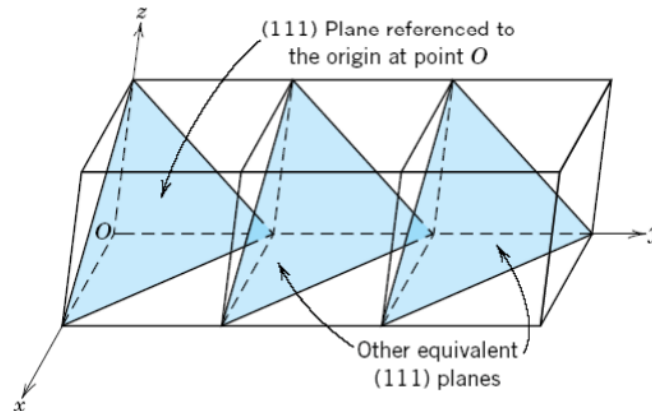
### Crystallographic planes in FCC



### Crystallographic planes in FCC



**Note:** similar to crystallographic directions, planes that are parallel to each other are equivalent



45

## Crystallographic Planes for Hexagonal Crystals

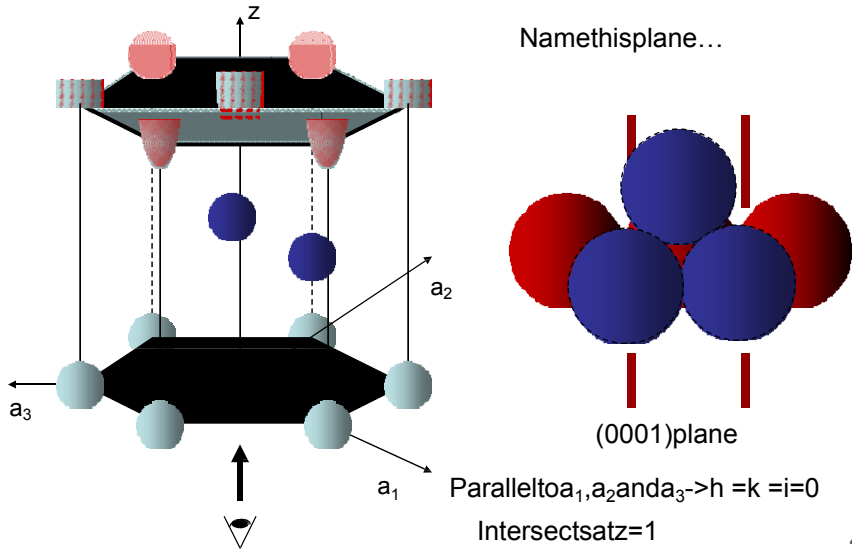
Similar to crystallographic directions for hexagonal crystals, **4-coordinate system** is used.

i.e. instead of  $(hkl)$  for 3-coordinate systems, use  $(hkil)$ .

For integers  $h$ ,  $k$ , and  $l$ , same procedure as 3-coordinate systems is used and  $i = -(h+k)$

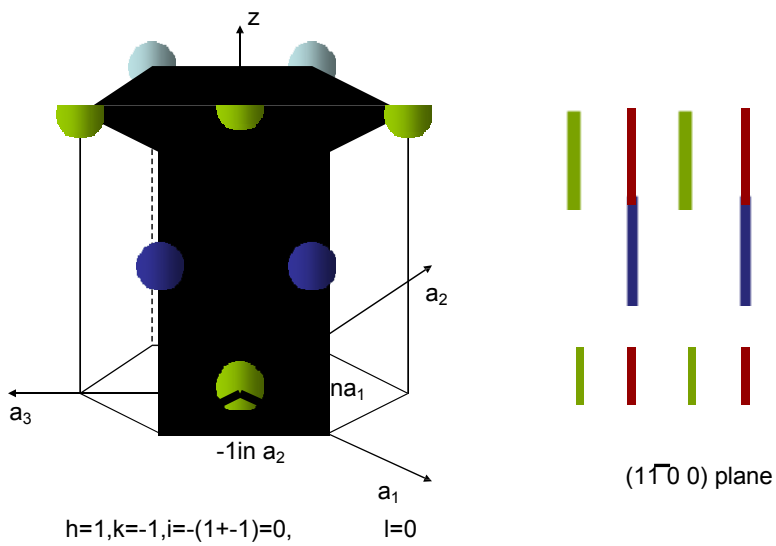
46

### Crystallographic planes in HCP



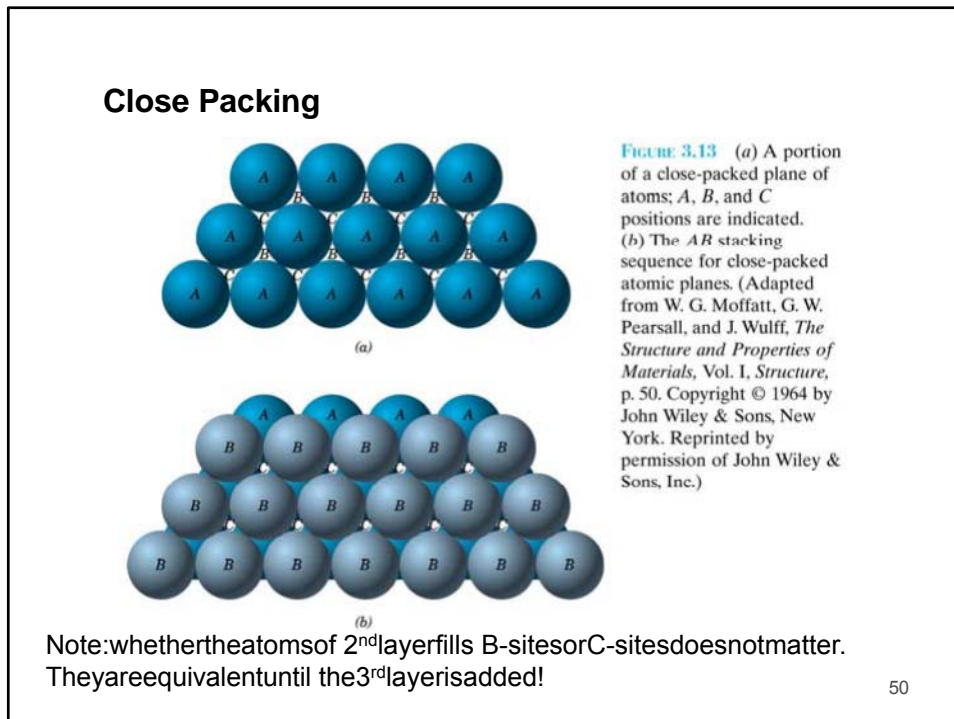
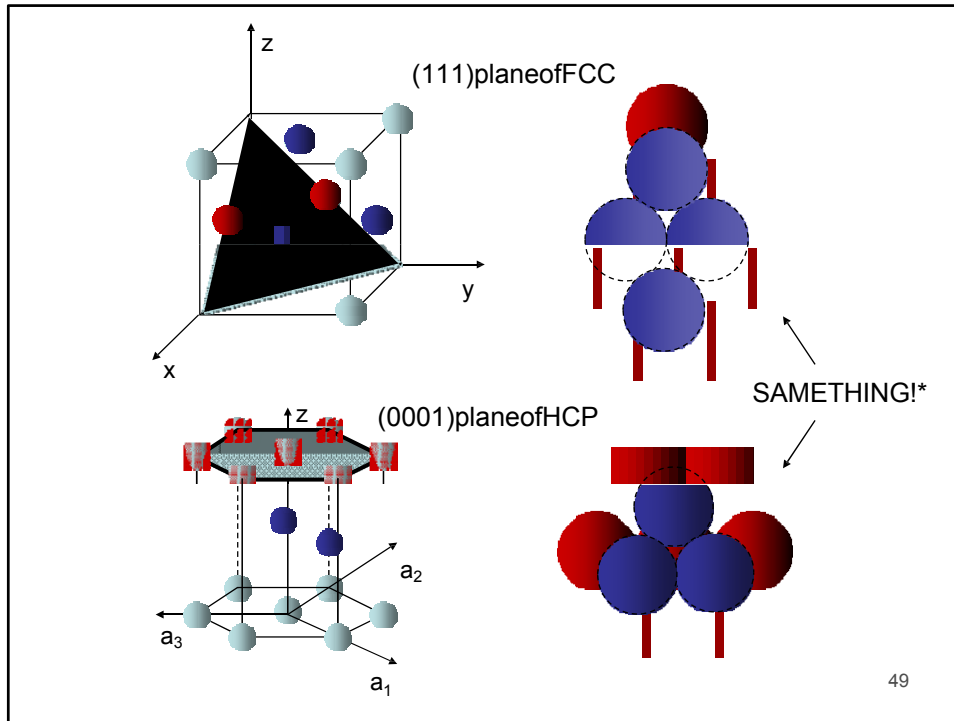
47

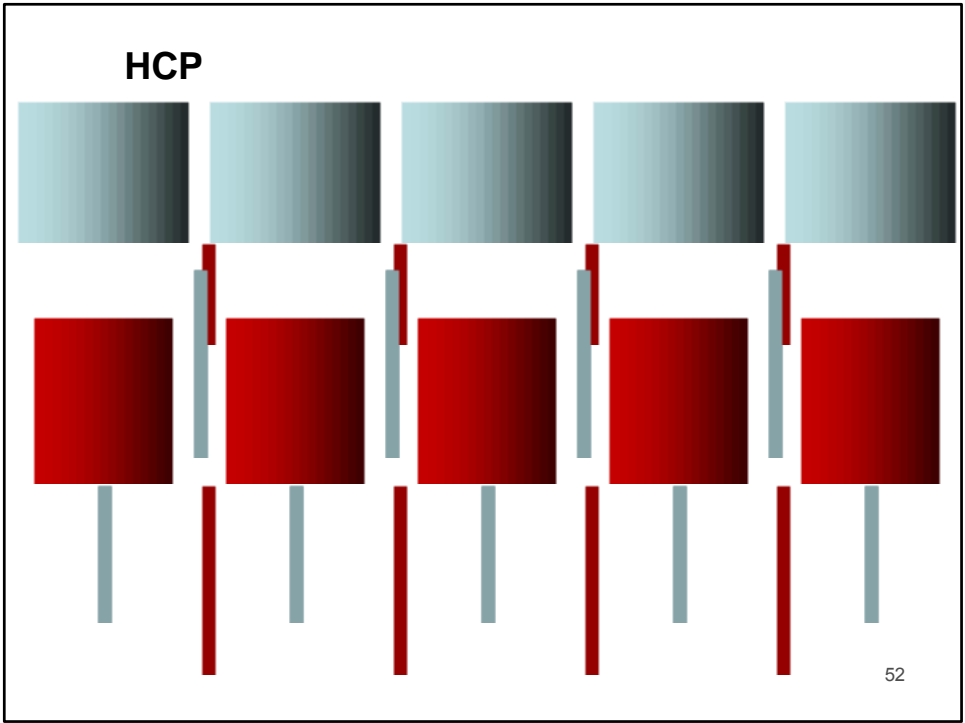
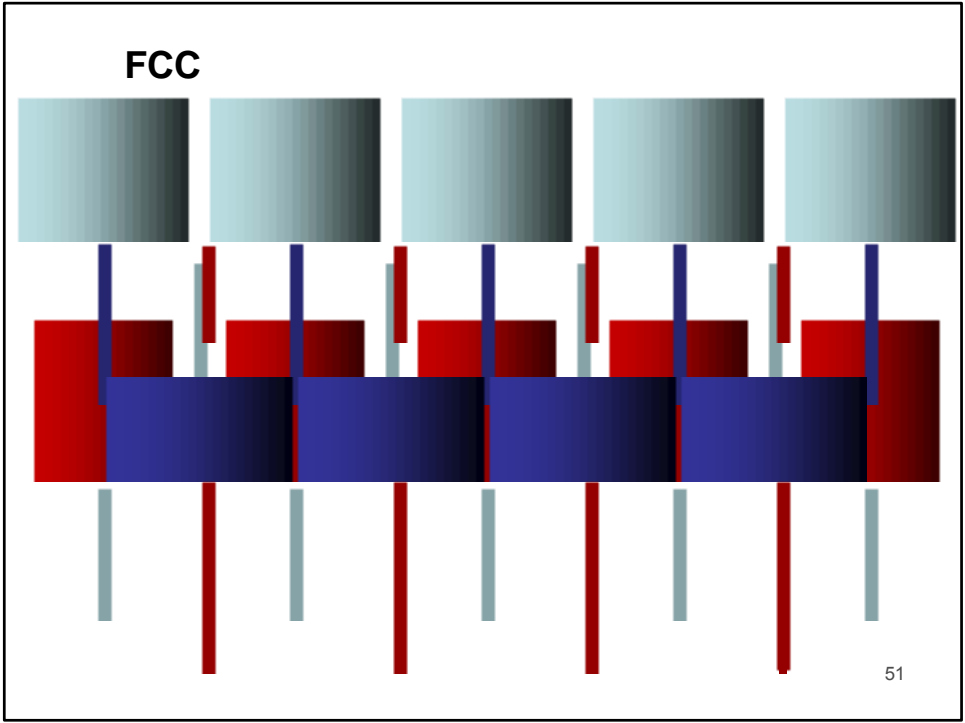
### Crystallographic planes in HCP



48

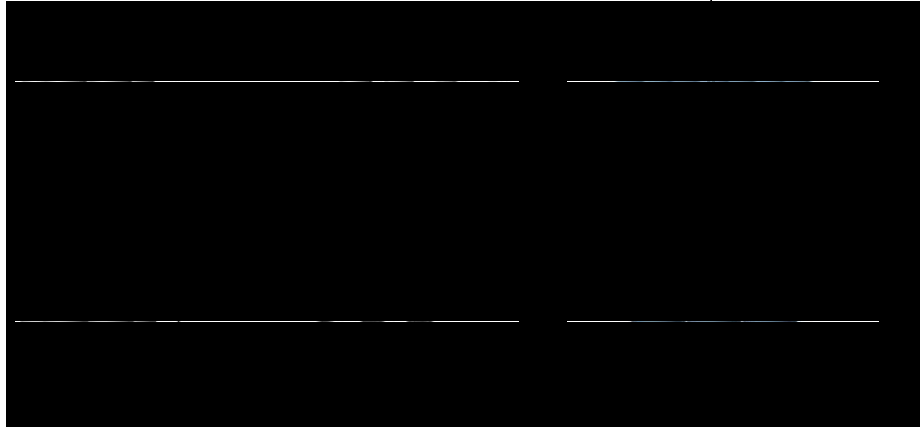






## Difference between FCC and HCP

Looking down (0001) plane



ABCABC...

ABABAB...

Looking down (111) plane!

53

## Densities

- **Crystall density** (i.e. 3D density) in units of mass per volume (e.g.  $\text{g}/\text{cm}^3$ ).
- **Linear density**: number of atoms per unit length (e.g.  $\text{cm}^{-1}$ ).
- **Planar density**: number of atoms per unit area (e.g.  $\text{cm}^{-2}$ ).

54

## Crystals density( $\rho$ )

$$\rho = \frac{M}{V}$$

Mass of unit cell  
Volume of unit cell

$$M = \frac{nA}{N_A}$$

$n$  = number of atoms in unit cell  
 $A$  = atomic weight  
 $N_A$  = Avogadro's number

$$\rho = \frac{nA}{N_A V}$$

55

## Density example

Calculated density of copper given:  $R = 0.128 \text{ nm}$

$A = 63.5 \text{ g/mol}$

FCC structure

Recall for FCC, there are 4 atoms per unit cell.

Express unit cell volume in terms of atomic radius  $R$ .

$$V = a^3 = (2\sqrt{2}R)^3 = 16\sqrt{2}R^3$$

Then we have:

$$\rho = \frac{M}{V} = \frac{nA}{N_A 16\sqrt{2}R^3}$$

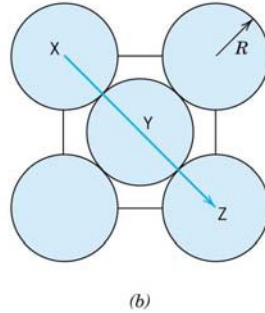
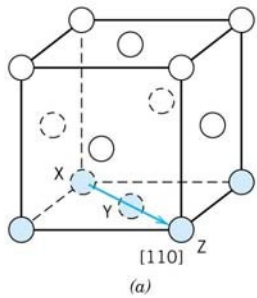
$$= \frac{4(63.5 \text{ g/mol})}{(6.022 \times 10^{23} \text{ mol}^{-1})(16\sqrt{2})(1.28 \times 10^{-8} \text{ cm})^3} = \boxed{8.89 \text{ g/cm}^3}$$

Compare to actual value of **8.94 g/cm<sup>3</sup>** <sup>56</sup>

# Linear Density(LD)

$$LD = \frac{\text{Number of atoms centered on a direction vector}}{\text{Length of the direction vector}}$$

**Example:** calculate the linear density of an FCC crystal along [110].



Length =  $4R$

Effectively 2 atoms along the [110] vector.

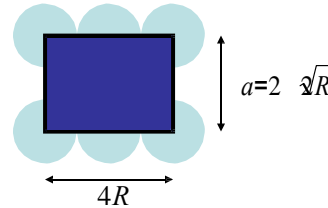
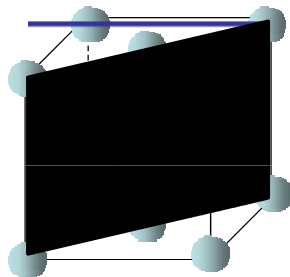
$$LD = 2/4R = 1/2R$$

57

# Planar Density(PD)

$$PD = \frac{\text{Number of atoms centered on a given plane}}{\text{Area of the plane}}$$

**Example:** calculate the planar density on (110) plane of an FCC crystal.



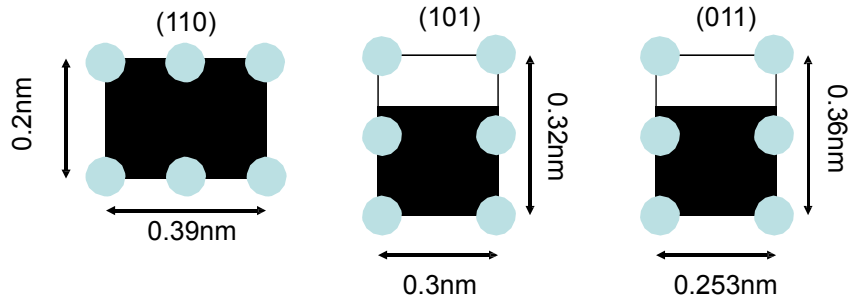
Count only the parts of the atoms within the plane: **2 atoms**

$$\text{area} = 2\sqrt{2}R \times 4R$$

$$PD = \frac{2}{(4R)(2\sqrt{2}R)} = \frac{1}{4\sqrt{2}R^2}$$

58

## Example problem



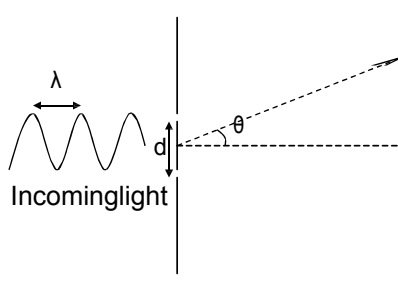
Given above information answer the following questions.

- What is the crystal structure?
- If atomic radius is 0.08 nm, what is APF?
- If atomic weight is 43 g/mol, calculate density.
- What is the line density along [210]?
- What is the planar density of (210)?

59

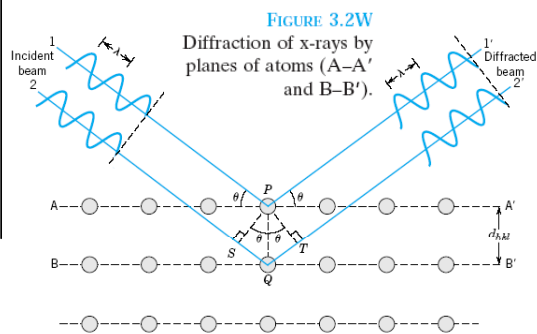
## How to determine crystal structure

The two slit experiment



Constructive interference when  
 $n\lambda = 2d \sin\theta$  (Bragg's Law)  
 $\lambda$  and  $d$  have to be comparable lengths.

Same idea with crystals  
 - Light gets scattered off atoms...

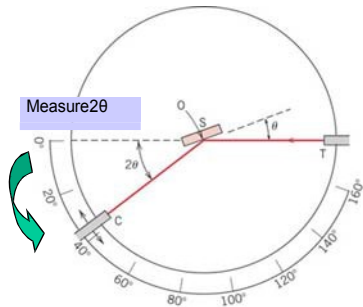


But since  $d$  (atomic spacing) is on the order of angstroms: **x-ray diffraction**

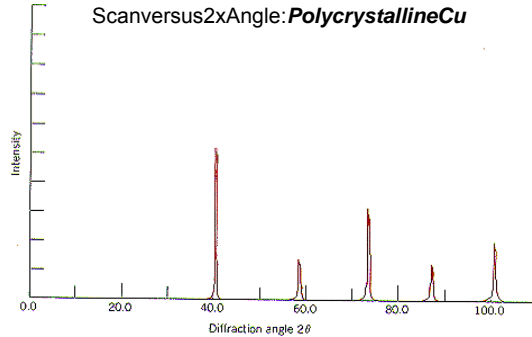
60

## Diffraction Experiment and Signal

Diffraction Experiment



Scan versus 2x Angle: Polycrystalline Cu



Diffraction collects data in “**reciprocal space**” since it is the equivalent of a **Fourier transform** of “**real space**”, i.e.,  $e^{ikr}$ , as  $k \sim 1/r$ .

How can 2θ scans help us determine crystal structure type and distances between Miller Indexed planes (i.e. structural parameters)?

## Crystal Structure and Planar Distances

Bravais Lattice	Constructive Interference	Destructive Interference
	Reflections present	Reflections absent
BCC	$(h + k + l) = \text{Even}$	$(h + k + l) = \text{Odd}$
FCC	$(h, k, l)$ All Odd or All Even	$(h, k, l)$ Not All Odd or All Even
HCP	Any other $(h, k, l)$	$h + 2k = 3n, l = \text{Odd}$ $n = \text{integer}$

$h, k, l$  are the **Miller Indices** of the planes of atoms that scatter!

So they determine the important planes of atoms, or symmetry.

Distances between **Miller Indexed planes**

For cubic crystals:

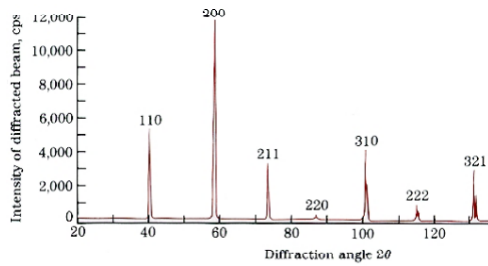
$$d_{hkl} = \frac{a}{\sqrt{h^2 + k^2 + l^2}}$$

For hexagonal crystals:

$$d_{hkl} = \frac{a}{\sqrt{\frac{4}{3}(h^2 + hk + k^2) + l^2}}$$

## Allowed (hkl) in FCC and BCC for principal scattering (n=1)

Self-Assessment:  
From what crystal structure is this?



$h+k+l$  was even and gave the label on graph above, so crystal is BCC.

(hkl)	$h^2+k^2+l^2$	$h+k+l$	h,k,l all even or odd?
100	1	1	No
<b>110</b>	<b>2</b>	<b>2</b>	No
111	3	3	Yes
<b>200</b>	<b>4</b>	<b>2</b>	Yes
210	5	3	No
<b>211</b>	<b>6</b>	<b>4</b>	No
<b>220</b>	<b>8</b>	<b>4</b>	Yes
221	9	5	No
300	9	3	No
<b>310</b>	<b>10</b>	<b>4</b>	No
311	11	5	Yes
<b>222</b>	<b>12</b>	<b>6</b>	Yes
320	13	5	No
<b>321</b>	<b>14</b>	<b>6</b>	No

## Example problem: X-ray crystallography

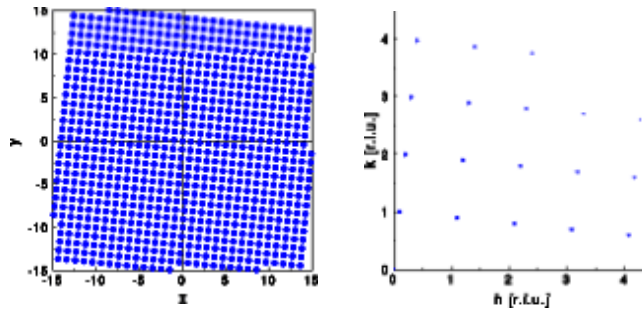
- Given the information below, determine the crystal structure. Consider only FCC and BCC structures as possibilities.
  - Lattice parameter:  $a = 0.4997 \text{ nm}$
  - Powder x-ray:  $\lambda = 0.1542 \text{ nm}$

$2\theta$ (°)
31
36
51.8
61.6
64.8



## Diffraction of Single 2D Crystal Grain

Lattice of Atoms: Crystal Grain    Diffraction Pattern in (h,k) plane

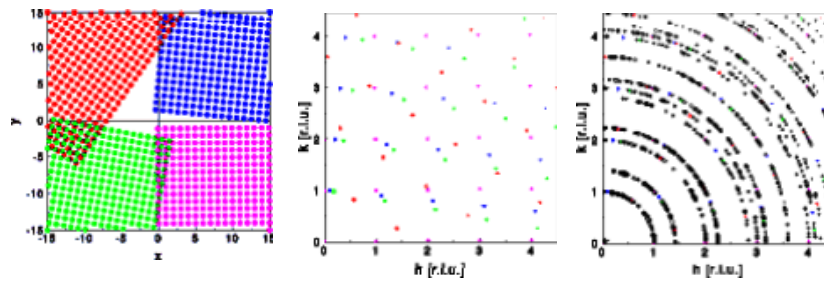


Powder diffraction

## Diffraction of Multiple Single 2D Crystal Grains (powders)

Multiple Crystal Grains: Diffraction Pattern  
4 Polycrystals    in (h,k) plane (4 grains)

Diffraction Pattern  
in (h,k) plane (40 grains)



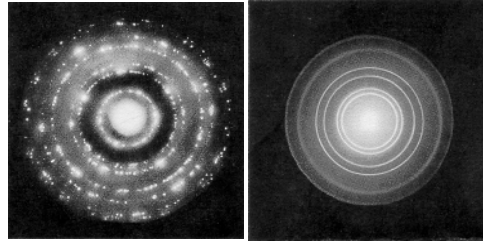
Powder diffraction figures

## Example from Graphite

Single Crystal Grain



Multiple Grains: Polycrystal



Powder diffraction figures

## Concepts to remember

- Unit cell, unit vector, and lattice parameters.
- Bravais Lattices.
- Counting number of atoms for a given unit cell.
- Coordination number = number of nearest neighbor atoms.
- Atomic Packing Factor (APF) = Volume of atoms in a unit cell / Volume of unit cell.
- Close-packing
- FCC, BCC, HCP
- Crystallographic coordinates, directions, and planes.
- Densities
- X-ray crystallography