CYCLIC CODES

Cyclic codes are of interest and importance because

- They posses rich algebraic structure that can be utilized in a variety of ways.
 - They have extremely concise specifications.
- They can be efficiently implemented using simple shift registers.
 - Many practically important codes are cyclic.

Convolution codes allow to encode streams od data (bits).

IMPORTANT NOTE

In order to specify a binary code with 2^k codewords of length n one may need

2^k

- to write down
- codewords of length n.
- In order to specify a linear binary code with 2^k codewords of length n it is sufficient
- to write down

k

- codewords of length n.
- In order to specify a binary cyclic code with 2^k codewords of length n it is sufficient
- to write down
- codeword of length *n*.

BASIC DEFINITION AND EXAMPLES

Definition A code C is cyclic if

 \Box (i) C is a linear code;

•(ii) any cyclic shift of a codeword is also a codeword, i.e. whenever $a_{0}, \ldots, a_{n-1} \in C$, then also $a_{n-1}, a_{0}, \ldots, a_{n-2} \in C$.

Example

(i) Code $C = \{000, 101, 011, 110\}$ is cyclic.

(ii) Hamming code Ham(3, 2): with the generator matrix

$$G = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 \end{pmatrix}$$

is equivalent to a cyclic code.

(iii) The binary linear code {0000, 1001, 0110, 1111} is not a cyclic, but it is equivalent to a cyclic code.

(iv) Is Hamming code Ham(2, 3) with the generator matrix

$$\begin{pmatrix} 1 & 0 & 1 & 1 \\ 0 & 1 & 1 & 2 \end{pmatrix}$$

(a) cyclic?

(b) equivalent to a cyclic code?

FREQUENCY of CYCLIC CODES

Comparing with linear codes, the cyclic codes are quite scarce. For, example there are 11 811 linear (7,3) linear binary codes, but only two of them are cyclic.

Trivial cyclic codes. For any field *F* and any integer $n \ge 3$ there are always the following cyclic codes of length *n* over *F*:

- No-information code code consisting of just one all-zero codeword.
- Repetition code code consisting of codewords (a, a, ..., a) for $a \in F$.
- Single-parity-check code code consisting of all codewords with parity 0.
- No-parity code code consisting of all codewords of length n

•For some cases, for example for n = 19 and F = GF(2), the above four trivial cyclic codes are the only cyclic codes.

EXAMPLE of a CYCLIC CODE

■The code with the generator matrix

 $G = \begin{pmatrix} 1 & 0 & 1 & 1 & 1 & 0 & 0 \\ 0 & 1 & 0 & 1 & 1 & 1 & 0 \\ 0 & 0 & 1 & 0 & 1 & 1 & 1 \end{pmatrix}$

has codewords

C₁ = 1011100 C₂ = 0101110 C₃ = 0010111
 C₁ + C₂ = 1110010 C₁ + C₃ = 1001011C₂ + C₃ = 0111001
 □C₁ + C₂ + C₃ = 1100101
 □and it is cyclic because the right shifts have the following impacts
 C₁ → C₂, C₂ → C₃, C₃ → C₁ + C₃
 □ C₁ + C₂ → C₂ + C₃, C₁ + C₃ → C₁ + C₂ + C₃ → C₁
 □ C₁ + C₂ + C₃ → C₁ + C₂ + C₃ → C₁

POLYNOMIALS over GF(q)

A codeword of a cyclic code is usually denoted

and to each such a codeword the polynomial $a_0 + a_1 x + a_2 x^2 + ... + a_{n-1} x^{n-1}$

■ is associated.

• $F_q[x]$ denotes the set of all polynomials over GF(q).

• deg (f(x)) = the largest m such that x^m has a non-zero coefficient in f(x).

<u>Multiplication of polynomials</u> If f(x), $g(x) \in F_q[x]$, then deg(f(x) g(x)) = deg(f(x)) + deg(g(x)).

Division of polynomials For every pair of polynomials a(x), $b(x) \neq 0$ in $F_q[x]$ there exists a unique pair of polynomials q(x), r(x) in $F_q[x]$ such that a(x) = q(x)b(x) + r(x), deg (r(x)) < deg (b(x)).

Example Divide $x^3 + x + 1$ by $x^2 + x + 1$ in $F_2[x]$.

Definition Let f(x) be a fixed polynomial in $F_q[x]$. Two polynomials g(x), h(x) are said to be congruent modulo f(x), notation

 $g(x) \equiv h(x) \pmod{f(x)},$

if g(x) - h(x) is divisible by f(x).

RING of POLYNOMIALS

The set of polynomials in $F_q[x]$ of degree less than deg (f(x)), with addition and multiplication modulo f(x) forms a **ring denoted** $F_q[x]/f(x)$.

Example Calculate $(x + 1)^2$ in $F_2[x] / (x^2 + x + 1)$. It holds $\Box (x + 1)^2 = x^2 + 2x + 1 \equiv x^2 + 1 \equiv x \pmod{x^2 + x + 1}$.

■How many elements has $F_q[x] / f(x)$? ■Result | $F_q[x] / f(x)$ | = $q^{\deg(f(x))}$.

Example Addition and multiplication in $F_2[x] / (x^2 + x + 1)$

+	0	1	х	1 + x
0	0	1	х	1 + x
1	1	0	1 + x	х
х	х	1 + x	0	1
1 + x	1 + x	х	1	0

•	0	1	х	1 + x	
0	0	0	0	0	
1	0	1	Х	1 + x	
х	0	х	1 + x	1	
1 + x	0	1 + x	1	х	

Definition A polynomial f(x) in $F_q[x]$ is said to be **reducible** if f(x) = a(x)b(x), where $a(x), b(x) \in F_q[x]$ and

 $deg (a(x)) < deg (f(x)), \qquad deg (b(x)) < deg (f(x)).$

If f(x) is not reducible, it is irreducible in $F_{a}[x]$.

Theorem The ring $F_q[x] / f(x)$ is a <u>field</u> if f(x) is irreducible in $F_q[x]$.

FIELD R_n , $R_n = F_q[x] / (x^n - 1)$

■Computation modulo $x^n - 1$

■Since $x^n \equiv 1 \pmod{x^n - 1}$ we can compute $f(x) \mod x^n - 1$ as follow: ■In f(x) replace x^n by 1, x^{n+1} by x, x^{n+2} by x^2 , x^{n+3} by x^3 , ...

Identification of words with polynomials

$$\Box a_0 a_1 \dots a_{n-1} \longleftrightarrow a_0 + a_1 x + a_2 x^2 + \dots + a_{n-1} x^{n-1}$$

• Multiplication by x in R_n corresponds to a single cyclic shift $= X \left(a_0 + a_1 X + \dots + a_{n-1} X^{n-1} \right) = a_{n-1} + a_0 X + a_1 X^2 + \dots + a_{n-2} X^{n-1}$

Algebraic characterization of cyclic codes

- Theorem A code *C* is cyclic if *C* satisfies two conditions
- (i) $a(x), b(x) \in C \implies a(x) + b(x) \in C$
- (ii) $a(x) \in C$, $r(x) \in R_n \Rightarrow r(x)a(x) \in C$

Proof (1) Let C be a cyclic code. C is linear \Rightarrow (i) holds. (ii) Let $a(x) \in C$, $r(x) = r_0 + r_1x + \ldots + r_{n-1}x^{n-1}$ $r(x)a(x) = r_0a(x) + r_1xa(x) + \ldots + r_{n-1}x^{n-1}a(x)$

- is in C by (i) because summands are cyclic shifts of a(x).
- (2) Let (i) and (ii) hold
- Taking r(x) to be a scalar the conditions imply linearity of C.
- Taking r(x) = x the conditions imply cyclicity of *C*.

CONSTRUCTION of CYCLIC CODES

■ Notation If $f(x) \in R_n$, then $\square \langle f(x) \rangle = \{r(x)f(x) \mid r(x) \in R_n\}$ ■ (multiplication is modulo x^n -1). ■ Theorem For any $f(x) \in R_n$, the set $\langle f(x) \rangle$ is a cyclic code (generated by f). ■ Proof We check conditions (i) and (ii) of the previous theorem. ■ (i) If $a(x)f(x) \in \langle f(x) \rangle$ and $b(x)f(x) \in \langle f(x) \rangle$, then $\square a(x)f(x) + b(x)f(x) = (a(x) + b(x)) f(x) \in \langle f(x) \rangle$ ■ (ii) If $a(x)f(x) \in \langle f(x) \rangle$, $r(x) \in R_n$, then $\square r(x) (a(x)f(x)) = (r(x)a(x)) f(x) \in \langle f(x) \rangle$.

Example $C = \langle 1 + x^2 \rangle$, n = 3, q = 2.We have to compute $r(x)(1 + x^2)$ for all $r(x) \in R_3$. $R_3 = \{0, 1, x, 1 + x, x^2, 1 + x^2, x + x^2, 1 + x + x^2\}$.Result $C = \{0, 1 + x, 1 + x^2, x + x^2\}$ $C = \{0, 00, 011, 101, 110\}$

Characterization theorem for cyclic codes

• We show that all cyclic codes C have the form $C = \langle f(x) \rangle$ for some $f(x) \in R_n$.

• Theorem Let C be a non-zero cyclic code in R_n . Then

- there exists unique monic polynomial g(x) of the smallest degree such that
- $C = \langle \mathbf{g}(\mathbf{x}) \rangle$
- g(x) is a factor of x^n -1.

Proof

(i) Suppose g(x) and h(x) are two monic polynomials in *C* of the smallest degree. Then the polynomial $g(x) - h(x) \in C$ and it has a smaller degree and a multiplication by a scalar makes out of it a monic polynomial. If $g(x) \neq h(x)$ we get a contradiction.

(ii) Suppose $a(x) \in C$. Then

$$a(x) = q(x)g(x) + r(x) \qquad (deg r(x) < deg g(x))$$

and

$$r(x) = a(x) - q(x)g(x) \in C$$

By minimality

 $\mathbf{r}(\mathbf{x}) = \mathbf{0}$

and therefore $a(x) \in \langle g(x) \rangle$.

Characterization theorem for cyclic codes • (iii) Clearly, • $x^n - 1 = q(x)g(x) + r(x)$ with deg r(x) < deg g(x)• and therefore $r(x) \equiv -q(x)g(x) \pmod{x^n - 1}$ and • $r(x) \in C \Rightarrow r(x) = 0 \Rightarrow g(x)$ is a factor of $x^n - 1$.

GENERATOR POLYNOMIALS

Definition If for a cyclic code *C* it holds

 $C = \langle g(x) \rangle,$

then g is called the **generator polynomial** for the code C.

HOW TO DESIGN CYCLIC CODES?

■The last claim of the previous theorem gives a recipe to get all cyclic codes of given length *n*.

∎xⁿ -1.

Indeed, all we need to do is to find all factors of

Problem: Find all binary cyclic codes of length 3.
Solution: Since

 $x^3 - 1 =$

 $(x + 1)(x^2 + x + 1)$

both factors are irreducible in GF(2)

■we have the following generator polynomials and codes.

Generator polynomials	<u>Code in R₃</u>	<u>Code in V(3,2)</u>
• 1	R_3	V(3,2)
■ <i>x</i> + 1	$\{0, 1 + x, x + x^2, 1 + x^2\}$	} {000, 110, 011, 101}
• $x^2 + x + 1$	$\{0, 1 + x + x^2\}$	{000, 111}
• $x^3 - 1 (= 0)$	{0}	{000}

Design of generator matrices for cyclic codes

• **Theorem** Suppose *C* is a cyclic code of codewords of length *n* with the generator polynomial

$$\Box \quad g(x) = g_0 + g_1 x + \dots + g_r x^r.$$

• Then dim(C) = n - r and a generator matrix G_1 for C is

	$\left(g_{0}\right)$	g_1	g_2	•••	g_r	0	0	0	 0
	0	g_0	g_1	g_2	•••	g_r	0	0	 0
<i>G</i> ₁ =	0	0	g_0	g_1	g_2		g_r	0	 0
	0	0		0	0		0	ø	o

Proof

(i) All rows of G_1 are linearly independent.

(ii) The *n* - *r* rows of *G* represent codewords

 $g(x), xg(x), x^2g(x), \dots, x^{n-r-1}g(x)$

(*)

(iii) It remains to show that every codeword in C can be expressed as a linear combination of vectors from (*).

Inded, if $a(x) \in C$, then

$$\mathbf{a}(\mathbf{x}) = \mathbf{q}(\mathbf{x})\mathbf{g}(\mathbf{x}).$$

Since deg a(x) < n we have deg q(x) < n - r. Hence

$$q(x)g(x) = (q_0 + q_1x + ... + q_{n-r-1}x^{n-r-1})g(x)$$

= $q_0g(x) + q_1xg(x) + ... + q_{n-r-1}x^{n-r-1}g(x).$
Cyclic codes

EXAMPLE

The task is to determine all ternary codes of length 4 and generators for them. Factorization of x^4 - 1 over *GF*(3) has the form

■ $x^4 - 1 = (x - 1)(x^3 + x^2 + x + 1) = (x - 1)(x + 1)(x^2 + 1)$ ■ Therefore there are $2^3 = 8$ divisors of $x^4 - 1$ and each generates a cyclic code.

Generator polynomial	Generator matrix
1	$\begin{bmatrix} -1 & 1 & 0 & 0 \\ 0 & -1 & 1 & 0 \\ 0 & 0 & -1 & 1 \end{bmatrix}$
X	0 -1 1 0
	$\begin{bmatrix} 1 & 1 & 0 & 0 \end{bmatrix}$
<i>x</i> + 1	0 1 1 0
<i>x</i> ² + 1	$\begin{bmatrix} 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \end{bmatrix}$
$(x - 1)(x + 1) = x^2 - 1$	$\begin{bmatrix} -1 & 0 & 1 & 0 \\ 0 & -1 & 0 & 1 \end{bmatrix}$
	$\begin{bmatrix} 0 & -1 & 0 & 1 \end{bmatrix}$
$(x - 1)(x^2 + 1) = x^3 - x^2 + x - 1$	[-11-11]
$(x + 1)(x^2 + 1)$	[1111]
$x^4 - 1 = 0$	[0000]

Check polynomials and parity check matrices for cyclic codes

■Let *C* be a cyclic [n,k]-code with the generator polynomial g(x) (of degree *n* - *k*). By the last theorem g(x) is a factor of x^n - 1. Hence

 $\Box x^{n} - 1 = g(x)h(x)$

■ for some h(x) of degree k (where h(x) is called the <u>check polynomial</u> of C). ■ Theorem Let C be a cyclic code in R_n with a generator polynomial g(x) and a check polynomial h(x). Then an $c(x) \in R_n$ is a codeword of C if $c(x)h(x) \equiv 0$ - this and next congruences are modulo $x^n - 1$.

Proof Note, that $g(x)h(x) = x^n - 1 \equiv 0$ (i) $c(x) \in C \Rightarrow c(x) = a(x)g(x)$ for some $a(x) \in R_n$ $\Rightarrow c(x)h(x) = a(x)\underbrace{g(x)h(x)}_{\equiv 0} = 0.$

(ii) $c(x)h(x) \equiv 0$

$$c(x) = q(x)g(x) + r(x), \ deg \ r(x) < n - k = deg \ g(x)$$
$$c(x)h(x) \equiv 0 \implies r(x)h(x) \equiv 0 \pmod{x^n - 1}$$

Since deg (r(x)h(x)) < n - k + k = n, we have r(x)h(x) = 0 in F[x] and therefore $r(x) = 0 \Rightarrow c(x) = q(x)g(x) \in C$.

POLYNOMIAL REPRESENTATION of DUAL CODES

Since dim ($\langle h(x) \rangle$) = n - k = dim (C[^]) we might easily be fooled to think that the check polynomial h(x) of the code C generates the dual code C[^].

Reality is "slightly different":

Theorem Suppose *C* is a cyclic [n,k]-code with the check polynomial $h(x) = h_0 + h_1 x + ... + h_k x^k$,

∎then

□(i) a parity-check matrix for C is

$$H = \begin{pmatrix} h_k & h_{k-1} & \dots & h_0 & 0 & \dots & 0 \\ 0 & h_k & \dots & h_1 & h_0 & \dots & 0 \\ \dots & \dots & \dots & \dots & \dots & \dots \\ 0 & 0 & \dots & 0 & h_k & \dots & h_0 \end{pmatrix}$$

•(ii) C^{\wedge} is the cyclic code generated by the polynomial $\overline{h}(x) = h_k + h_{k-1}x + ... + h_0x^k$

■i.e. the <u>reciprocal polynomial</u> of h(x).

POLYNOMIAL REPRESENTATION of DUAL CODES

Proof A polynomial $c(x) = c_0 + c_1 x + ... + c_{n-1} x^{n-1}$ represents a code from *C* if c(x)h(x) = 0. For c(x)h(x) to be 0 the coefficients at $x^k, ..., x^{n-1}$ must be zero, i.e.

 $c_0 h_k + c_1 h_{k-1} + \dots + c_k h_0 = 0$ $c_1 h_k + c_2 h_{k-1} + \dots + c_{k+1} h_0 = 0$

$$c_{n-k-1}h_k + c_{n-k}h_{k-1} + \dots + c_{n-1}h_0 = 0$$

Therefore, any codeword $c_0 c_1 \dots c_{n-1} \in C$ is orthogonal to the word $h_k h_k = 1 \dots h_0 0 \dots 0$ and to its cyclic shifts.

■Rows of the matrix *H* are therefore in *C*[^]. Moreover, since $h_k = 1$, these row-vectors are linearly independent. Their number is $n - k = dim(C^{^})$. Hence *H* is a generator matrix for *C*[^], i.e. a parity-check matrix for *C*. ■In order to show that *C*[^] is a cyclic code generated by the polynomial

$$\overline{h}(x) = h_k + h_{k-1}x + \dots + h_0x^k$$

■ it is sufficient to show that is a factor of $x^n - 1$. ■ Observe that $x^k h(x^{-1})$ and since $h(x^{-1})g(x^{-1}) = (x^{-1})^n - 1$ ■ we have that $x^k h(x^{-1})x^{n-k}g(x^{-1}) = x^n(x^{-n} - 1) = 1 - x^n$ ■ and therefore is indeed a factor of $x^n - 1$.

ENCODING with CYCLIC CODES I

Encoding using a cyclic code can be done by a multiplication of two polynomials - a message polynomial and the generating polynomial for the cyclic code.

• Let C be an (n,k)-code over an field F with the generator polynomial

 $\Box g(x) = g_0 + g_1 x + ... + g_{r-1} x^{r-1}$ of degree r = n - k.

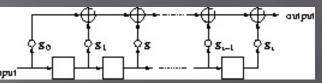
If a message vector m is represented by a polynomial m(x) of degree k and m is encoded by

 $\square m \Rightarrow c = mG_1$,

• then the following relation between m(x) and c(x) holds

 $\Box c(x) = m(x)g(x).$

Such an encoding can be realized by the shift register shown in Figure below, where input is the k-bit message to be encoded followed by n - k 0' and the output will be the encoded message.



■Shift-register encodings of cyclic codes. Small circles represent multiplication by the corresponding constant, ⊕ nodes represent modular addition, squares are delay elements

ENCODING of CYCLIC CODES II

Another method for encoding of cyclic codes is based on the following (so called systematic) representation of the generator and parity-check matrices for cyclic codes.

■ Theorem Let *C* be an (n,k)-code with generator polynomial g(x) and r = n - k. For i = 0, 1, ..., k - 1, let $G_{2,i}$ be the length *n* vector whose polynomial is $G_{2,i}(x) = x^{r+1} - x^{r+1} \mod g(x)$. Then the k * n matrix G_2 with row vectors $G_{2,i}$ is a generator matrix for *C*.

• Moreover, if $H_{2,1}$ is the length *n* vector corresponding to polynomial $H_{2,1}(x) = x^{j} \mod g(x)$, then the $r * n \mod H_{2}$ with row vectors $H_{2,1}$ is a parity check matrix for *C*. If the message vector *m* is encoded by

 $\square m \Rightarrow c = mG_{2}$

Then the relation between corresponding polynomials is $\Box c(x) = x^r m(x) - [x^r m(x)] \mod g(x).$

On this basis one can construct the following shift-register encoder for the case of a systematic representation of the generator for a cyclic code:

Shift-register encoder for systematic representation of cyclic codes. Switch A is closed for first k ticks and closed for last r ticks; switch B is down for first k ticks and up for last r ticks.

Hamming codes as cyclic codes

• Definition (Again!) Let *r* be a positive integer and let *H* be an $r^*(2^r - 1)$ matrix whose columns are distinct non-zero vectors of V(r,2). Then the code having *H* as its parity-check matrix is called binary Hamming code denoted by Ham (r,2).

It can be shown that binary Hamming codes are equivalent to cyclic codes.

Theorem The binary Hamming code Ham(r,2) is equivalent to a cyclic code.

Definition If p(x) is an irreducible polynomial of degree *r* such that *x* is a primitive element of the field F[x] / p(x), then p(x) is called a primitive polynomial.

Theorem If p(x) is a primitive polynomial over *GF*(2) of degree *r*, then the cyclic code (p(x)) is the code *Ham* (*r*,2).

Hamming codes as cyclic codes

Example Polynomial $x^3 + x + 1$ is irreducible over GF(2) and x is primitive element of the field $F_2[x] / (x^3 + x + 1)$.

 $\mathbb{P}_{2}[x] / (x^{3} + x + 1) =$ $\mathbb{P}_{2}[x] / (x^{3} + x + 1) =$ $\mathbb{P}_{2}[x, x^{2}, x^{3} = x + 1, x^{4} = x^{2} + x, x^{5} = x^{2} + x + 1, x^{6} = x^{2} + 1$ $\mathbb{P}_{2}[x] / (x^{3} + x + 1) =$ $\mathbb{P}_{2}[x] / (x^{3} + x + 1) =$

PROOF of THEOREM

• The binary Hamming code Ham (r, 2) is equivalent to a cyclic code.

It is known from algebra that if p(x) is an irreducible polynomial of degree r, then the ring $F_2[x] \neq p(x)$ is a field of order 2^r.

In addition, every finite field has a primitive element. Therefore, there exists an element a of $F_2[x] \neq p(x)$ such that

$$\Box F_2[x] / p(x) = \{0, 1, a, a^2, \dots, a^{2r-2}\}.$$

■Let us identify an element $a_0 + a_1 + \dots = a_{r-1}x^{r-1}$ of $F_2[x] / p(x)$ with the column vector $(a_0, a_1, \dots, a_{r-1})^T$

■and consider the binary r * (2^r <u>-1</u>) matrix

$$\blacksquare H = [1 \ a \ a^2 \ \dots \ a^{2^r - 2}].$$

 \square Let now *C* be the binary linear code having *H* as a parity check matrix. Since the columns of H are all distinct non-zero vectors of V(r,2), C = Ham(r,2). • Putting $n = 2^r - 1$ we get

$$C = \{f_0 f_1 \dots f_{n-1} \in V(n, 2) \mid f_0 + f_1 a + \dots + f_{n-1} a^{n-1} = 0$$

= $\{f(x) \in R_n \mid f(a) = 0 \text{ in } F_2[x] / p(x)\}$

 \square If $f(x) \in C$ and $r(x) \in R_n$, then $r(x)f(x) \in C$ because $\Box r(a)f(a) = r(a) \bullet 0 = 0$

 \square and therefore, by one of the previous theorems, this version of Ham (r,2) is $c_{yclic.}$

(2)

(3)

BCH codes and Reed-Solomon codes

■To the most important cyclic codes for applications belong BCH codes and Reed-Solomon codes.

Definition A polynomial *p* is said to be <u>minimal</u> for a complex number *x* in Z_q if p(x) = 0 and *p* is irreducible over Z_q .

Definition A cyclic code of codewords of length *n* over Z_q , $q = p^r$, *p* is a prime, is called BCH code¹ of distance *d* if its generator g(x) is the least common multiple of the minimal polynomials for

for some I, where

 ω is the primitive *n*-th root of unity.

If $n = q^m - 1$ for some *m*, then the BCH code is called primitive.

Definition A Reed-Solomon code is a primitive BCH code with n = q - 1.

Properties:

• Reed-Solomon codes are self-dual.

¹BHC stands for Bose and Ray-Chaudhuri and Hocquenghem who discovered these codes.