

**COURSE:
THEORY OF
AUTOMATA
COMPUTATION**

TOPICS TO BE COVERED

- ◉ Using Reduction to prove properties

USING REDUCTION TO PROVE R.E.

Theorem: If L_2 is R.E., and $L_1 \leq L_2$, then L_1 is also R.E.

Proof:

Let L_1 and L_2 be languages over Σ , $L_1 \leq L_2$, and L_2 be R.E.

Because L_2 is R.E, there is a TM T_2 accepting L_2 .

Because $L_1 \leq L_2$, there is a TM T_1 computing a function f such that $w \in L_1 \leftrightarrow f(w) \in L_2$.

USING REDUCTION TO PROVE R.E.

Construct a TM $T = T_1 \rightarrow T_2$. We show that T accepts L_1 .

- If $w \in L_1$, T_1 in T computes $f(w) \in L_2$ and T_2 in T accepts $f(w)$. Thus, T accepts w .
- If $w \notin L_1$, T_1 in T computes $f(w) \notin L_2$ and T_2 in T does not accept $(f(w))$. Thus, T does not accept w .

Thus, L_1 is also R.E.

USING REDUCTION TO PROVE NON-R.E.

Collorary:

If L_1 is not recursively enumerable, and $L_1 \leq L_2$, then L_2 is not recursively enumerable.

USING REDUCTION TO PROVE CO-R.E.

Theorem: If L_2 is co-R.E., and $L_1 \leq L_2$, then L_1 is also co-R.E.

Proof:

Let L_1 and L_2 be languages over Σ , $L_1 \leq L_2$, and L_2 be co-R.E.

Because L_2 is co-R.E., \bar{L}_2 is R.E.

Because $L_1 \leq L_2$, $\bar{L}_1 \leq \bar{L}_2$. Then, \bar{L}_1 is R.E.

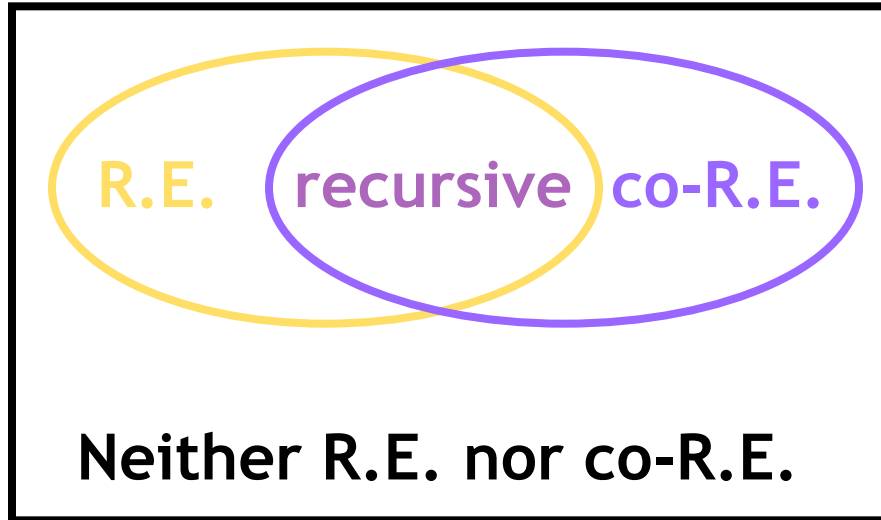
Thus, L_1 is co-R.E.

USING REDUCTION TO PROVE NON-CO-R.E.

Collorary:

If L_1 is not co-R.E., and $L_1 \leq L_2$, then L_2 is not co-R.E.

ANOTHER WAY TO PROVE UNDECIDABILITY



Let $L_1 \leq L_2$.

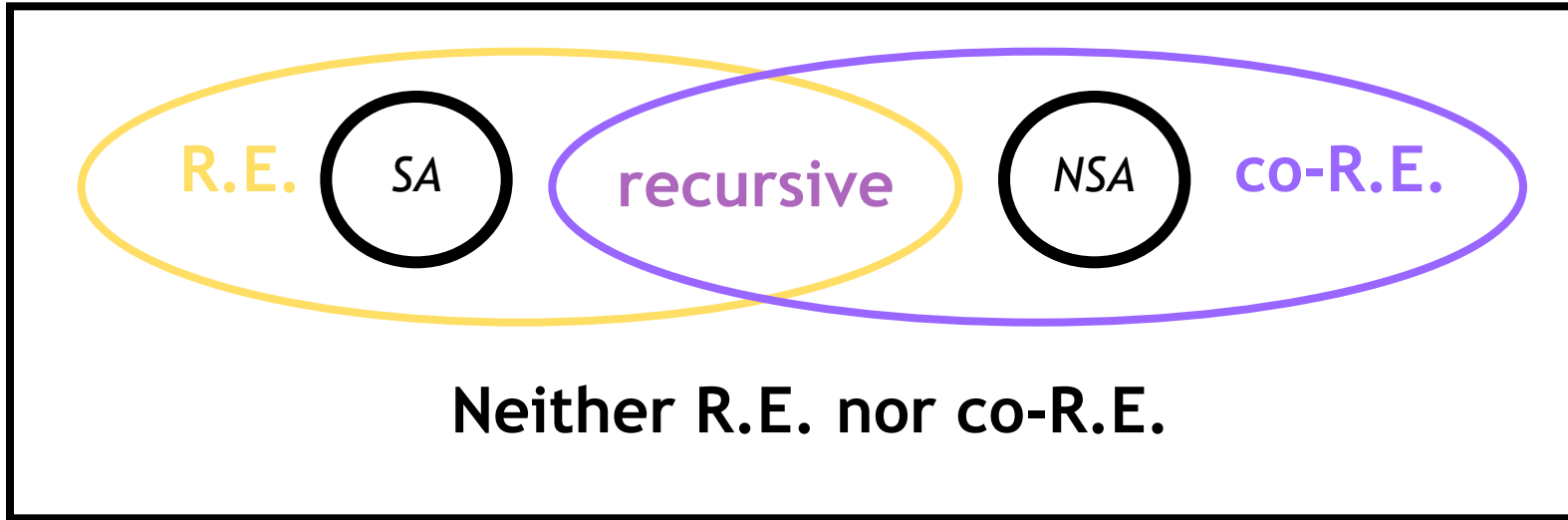
If L_1 is not recursive /
R.E. /
co-R.E.,

then L_2 is not recursive /
R.E. /
co-R.E.

To prove a language L is not recursive:

1. Guess where L is (not R.E. or not co-R.E.)
2. Choose another non-recursive language R which is of the same type
3. Show $R \leq L$.

ANOTHER WAY TO PROVE UNDECIDABILITY



To prove a language L is not recursive:

1. Guess where L is (not R.E. or not co-R.E.)
2. If L is not R.E., then show $NSA \leq L$.
3. If L is not co-R.E., then show $SA \leq L$.

GUESS IF IT'S REC., R.E., CO-R.E., OR NEITHER

Given a TM T ,

⊙ does T get to state q on blank tape?

*R.E.,
not co-R.E.*

⊙ does T accept ε ? *R.E., not co-R.E.*

⊙ does T output 1? *Neither*

⊙ does T accept everything? *Neither*

⊙ is $L(T)$ finite? *Neither*

PROBLEM OF ACCEPTING AN EMPTY STRING

- ◉ We will prove that the problem if a TM accepts an empty string is undecidable.
- ◉ This problem is corresponding to the following language.
 - $\text{Accept}_\varepsilon = \{e(M) \mid M \text{ is a TM accepting } \varepsilon\}$
- ◉ Thus, we will prove that $\text{Accept}_\varepsilon$ is not recursive.

ACCEPT_ε IS NOT RECURSIVE.

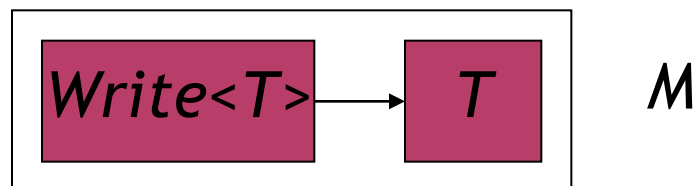
Proof:

(Guess Accept_ε is in R.E., but not co-R.E.)

⊙ Show $SA \leq \text{Accept}_\varepsilon$

(We want a Turing-computable $f \stackrel{n}{=} f(\langle T \rangle) = \langle M \rangle$ such that

- T accepts $e(T) \rightarrow M$ accepts ε
 - T does not accept $e(T) \rightarrow M$ does not accept ε
- ⊙ Let $f(T) = M$ is a TM that first writes $e(T)$ after its input and then runs T .
- ⊙ M writes $e(T)$ after its input. If its input is ε , T has $e(T)$ as input.



ACCEPT ε IS NOT CO-R.E.

Verify that T accepts $e(T) \leftrightarrow M$ accepts ε

M writes $e(T)$ and lets T run. If the input of M is ε :

- ⦿ when T accepts $e(T)$, M accepts ε .
- ⦿ when T doesn't accept $e(T)$, then M doesn't accept ε .

ACCEPT_ε IS NOT CO-R.E.

Next, we show that there is a TM TF computing f .

TF works as follows:

- ⊙ changes the start state of T in $e(T)$ to a new state
- ⊙ add $e(\text{Write}\langle T \rangle)$, make its start state the start state of TF, and make the transition from its halt state to T 's start state.

Then, $SA \leq \text{Accept}_\varepsilon$.

Then, $\text{Accept}_\varepsilon$ is not co-R.E, and is not recursive.

HALTING PROBLEM

⊙ Problem

- Given a Turing machine T and string z , does T halt on z ?
- Given a program P and input z , does P halt on z ?

⊙ Language

- $\text{Halt} = \{w \in \Sigma^* \mid w = e(T)e(z) \text{ for a Turing machine } T \text{ halting on } z\}$.
- $\text{Halt} = \{\langle T, z \rangle \mid T \text{ is a Turing machine halting on } z\}$.

HALTING PROBLEM IS UNDECIDABLE

Proof:

Let $\text{Halt} = \{\langle T, z \rangle \mid T \text{ is a Turing machine halting on } z\}$.

(Guess Halt is in R.E., but not co-R.E.)

⊙ Show $\text{SA} \leq \text{Halt}$

(We want a Turing-computable f \underline{n}

$f(\langle T_1 \rangle) = \langle T_2, z \rangle$ such that

- T_1 accepts $e(T_1) \rightarrow T_2$ halts on z
- T_1 does not accept $e(T_1) \rightarrow T_2$ does not halt on z

Then, a possible function is $f(\langle T \rangle) = \langle T, e(T) \rangle$ because T accepts $e(T) \leftrightarrow T$ halts on $e(T)$.

HALTING PROBLEM IS UNDECIDABLE

- ◉ Let $f(X) = X \cdot e(X)$. f is Turing-computable because there is a TM that can write an encoding of an input string after the string itself.
- ◉ If $f(\langle T \rangle) = \langle T \rangle \cdot e(\langle T \rangle)$, then T accepts $e(T) \leftrightarrow T$ halts on $e(T)$.
- ◉ Then, $SA \leq \text{Halt}$, and Halt is not co-R.E. Thus, Halting problem is undecidable.

SOME OTHER UNDECIDABLE PROBLEMS

- ◉ FINITE

Given a TM T , is $L(T)$ finite?

Guess FINITE is neither R.E. nor co-R.E.

- ◉ To assure $L(T)$ is finite, we need to run T on all possible input and count if T accepts a finite number of strings.
- ◉ To assure $L(T)$ is infinite, we need to run T on all possible input and count if T accepts an infinite number of strings.

FINITE IS NOT RECURSIVE

Let $FINITE = \{ \langle T \rangle \mid T \text{ is a TM such that } L(T) \text{ is finite.} \}$

Guess $FINITE$ is neither R.E. nor co-R.E.

Choose NSA which is not co-R.E. to show that $NSA \leq FINITE$.

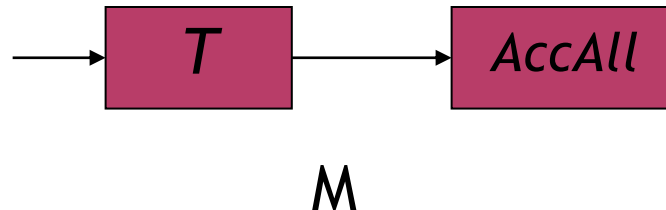
We want to find a Turing-computable function f such that

$$\langle T \rangle \in NSA \leftrightarrow f(\langle T \rangle) = M \in FINITE$$

$\langle T \rangle \in NSA \rightarrow M$ accepts \emptyset , and thus $L(M)$ is finite.

$\langle T \rangle \notin NSA \rightarrow M$ accepts Σ^* , and thus $L(M)$ is infinite.

Then, let $M = f(\langle T \rangle)$ be a TM that runs T on its input, and accepts everything if T halts.

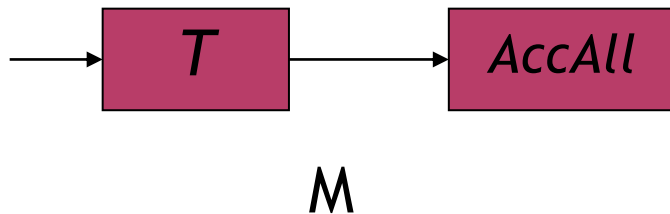


FINITE IS NOT RECURSIVE

Now, we will show that $\langle T \rangle \in \text{NSA} \leftrightarrow \langle M \rangle \in \text{FINITE}$

If $\langle T \rangle \in \text{NSA}$, then T does not accept $\langle T \rangle$. Then, M does not get to start *AccAll*. Thus, M accepts nothing and $L(M)$ is finite.

If $\langle T \rangle \notin \text{NSA}$, then T accepts $\langle T \rangle$. Then, M gets pass T , and accept everything. Thus, M accepts everything and $L(M)$ is infinite.



f is Turing-computable.
Thus, $\text{NSA} \leq \text{FINITE}$.
Since NSA is not Recursive, neither is FINITE.

CHECKLIST

- ❑ Prove a language is recursive, R.E., or co-R.E.
- ❑ Prove closure properties of these classes of languages
- ❑ Prove properties of reduction
- ❑ Prove a language is not recursive, not R.E., or not co-R.E.
- ❑ Prove a problem is decidable
- ❑ Prove a problem is undecidable