## COURSE: THEORY OF AUTOMATA COMPUTATION

TOPICS TO BE COVERED
-The Myhill-Nerode Theorem

## ISOMORPHISM OF DFAS

- $M=\left(Q_{M}, \Sigma, \delta_{M}, s_{M}, F_{M}\right), N=\left(Q_{N}, S, \delta_{N}, s_{N}, F_{N}\right)$ : two DFAs
- $M$ and $N$ are said to be isomorphic if there is a (structurepreserving) bijection $f: Q_{M}>\mathrm{Q}_{\mathrm{N}}$ s.t.
$f\left(s_{M}\right)=s_{N}$
$f\left(\delta_{M}(p, a)\right)=\delta_{N}(f(p), a)$ for all $p \in Q_{M}, a \in \Sigma$
$p \in F_{M}$ iff $f(p) \in F_{N}$.
- l.e., $M$ and $N$ are essentially the same machine up to renaming of states.
- Facts:

1. Isomorphic DFAs accept the same set.
2. if $M$ and $N$ are any two DFAs w/o inaccessible states accepting the same set, then the quotient automata $M / \approx$ and $N / \approx$ are isomorphic
3. The DFA obtained by the minimization algorithm (lec. 14) is the minimal

DFA for the set it accepts, and this DFA is unique up to isomorphism.

## MYHILL-NERODE RELATIONS

- R: a regular set, $M=(\mathrm{Q}, \Sigma, \delta, \mathrm{s}, \mathrm{F})$ : a DFA for $\mathrm{R} w / \mathrm{o}$ inaccessible states.
- $M$ induces an equivalence relation $\equiv_{M}$ on $\Sigma^{*}$ defined by $\mathrm{x} \equiv \mathrm{m}_{\mathrm{y}} \mathrm{y}$ iff $\Delta(\mathrm{s}, \mathrm{x})=\Delta(\mathrm{s}, \mathrm{y})$.
i.e., two strings $x$ and $y$ are equivalent iff it is indistinguishable by running $M$ on them (i.e., by running $M$ with $x$ and $y$ as input, respectively, from the initial state of $M$.)
- Properties of $\equiv_{M}$ :
$0 . \equiv{ }_{M}$ is an equivalence relation on $\Sigma^{*}$.
(cf: $\approx$ is an equivalence relation on states)
$1 . \equiv \mu$ is a right congruence relation on $\Sigma^{*}$ : i.e., for any $x, y \in$ $\Sigma^{*}$ and $a \in \Sigma, x \equiv_{M} y \Rightarrow x a \equiv_{M}$ ya.

$$
\text { pf: if } \begin{aligned}
x \equiv \equiv_{M} y & =>\Delta(s, x a)=\delta(\Delta(s, x), a)=\delta(\Delta(s, y), a)=\Delta(s, y a) \\
& =>x a \equiv_{M} y a .
\end{aligned}
$$

## PROPERTIES OF THE MYHILL=NERODE RELATIONS

- Properties of $\equiv_{M}$ :
- 2. $\equiv_{M}$ refines R. I.e., for any $x, y \in \Sigma^{*}$,
- $\quad x \equiv_{M} y=>x \in R$ iff $y \in R$
- pf: $x \in R$ iff $\Delta(s, x) \in F$ iff $\Delta(s, y) \in F$ iff $y \in R$.
- Property 2 means that every $\equiv_{м}$-class has either all its elements in $R$ or none of its elements in R. Hence R is a union of some $\equiv M^{-}$ classes.
- 3. It is of finite index, i.e., it has only finitely many equivalence classes.
- (i.e., the $\operatorname{set}\left\{[x]{ }_{]_{M}} \mid x \in \Sigma^{*}\right\}$
- is finite.
- pf: $x \equiv_{M} y$ iff $\Delta(s, x)=\Delta(s, y)=q$
- for some $q \in Q$. Since there
- are only |Q| states, hence
- $\Sigma^{*}$ has $|\mathrm{Q}| \equiv_{M}$-classes



## DEFINITION OF THE MYHILL-NERODE RELATION

- $\equiv$ : an equivalence relation on $\Sigma^{*}$,

R : a language over $\Sigma^{*}$.

- $\equiv$ is called an Myhill-Nerode relation for R if it satisfies property $1 \sim 3$. i.e., it is a right congruence of finite index refining $R$.
- Fact: R is regular iff it has a Myhill-Nerode relation.
(to be proved later)

1. For any DFA $M$ accepting $R, \equiv_{M}$ is a Myhill-Nerode relation for R.
2. If $\equiv$ is a Myhill-Nerode relation for R then there is a DFA $M_{\equiv}$ accepting $R$.
3. The constructions $M \rightarrow \equiv_{M}$ and $\equiv \rightarrow M_{\equiv}$ are inverse up to isomorphism of automata. (i.e. $\equiv=\equiv_{M \equiv}=$ and $M=M \equiv_{M}$ )

## FROM $\equiv$ TO M $\equiv$

- R: a language over $\Sigma, \equiv$ : a Myhill-Nerode relation for R; the $\equiv$-class of the string $x$ is $[x]_{\equiv}=_{\text {def }}\{y \mid x \equiv y\}$. Note: Although there are infinitely many strings, there are only finitely many $\equiv$-classes. (by property of finite index)
- Define DFA $M \equiv=(Q, \Sigma, \delta, s, F)$ where
$\mathrm{Q}=\left\{[\mathrm{x}] \mid \mathrm{x} \in \Sigma^{*}\right\}, \quad \mathrm{s}=[\varepsilon]$,
$F=\{[x] \mid x \in R\}, \quad \delta([x], a)=[x a]$.
- Notes:
$0: M_{\equiv}$ has $|Q|$ states, each corresponding to $\mathrm{an} \equiv$-class of $\equiv$. Hence the more classes $\equiv$ has, the more states $M \equiv$ has.

1. By right congruence of $\equiv, \delta$ is well-defined, since, if $y, z \in[x]=>$
$y \equiv z \equiv x=>y a \equiv z a \equiv x a=>y a, z a \in[x a]$
2. $x \in R$ iff $[x] \in F$.
pf: =>: by definition of $M \equiv$;
$<=:[x] \in F=>\exists y$ s.t. $y \in R$ and $x \equiv y=>x \in R$. (property 2)

## $M \rightarrow \equiv{ }_{M} A N D \equiv \rightarrow M \equiv$ ARE INVERSES

Lemma 15.1: $\Delta([x], y)=[x y]$
pf: Induction on $|\mathrm{y}|$. Basis: $\Delta([\mathrm{x}], \varepsilon)=[\mathrm{x}]=[\mathrm{x} \varepsilon]$. Ind. step: $\Delta([\mathrm{x}], \mathrm{ya})=\delta(\Delta([\mathrm{x}], \mathrm{y}), \mathrm{a})=\delta([\mathrm{xy}], \mathrm{a})=[\mathrm{xya}]$. QED

Theorem 15.2: $L\left(M_{\equiv}\right)=R$. $\mathrm{pf}: x \in \mathrm{~L}\left(\mathrm{M}_{\equiv}\right)$ iff $\Delta([\varepsilon], x) \in \mathrm{F}$ iff $[\mathrm{x}] \in \mathrm{F}$ iff $\mathrm{x} \in \mathrm{R}$. QED

Lemma 15.3: $\equiv$ : a Myhill-Nerode relation for R, M: a DFA for R w/o inaccessible states, then

1. if we apply the construction $\equiv \rightarrow M$ to $\equiv$ and then apply $M \rightarrow \equiv_{M}$ to the result, the resulting relation $\equiv_{M} \equiv$ is identical to $\equiv$.
2. if we apply the construction $M \rightarrow \equiv_{M}$ to $M$ and then apply $\equiv \rightarrow M_{\equiv}$ to the result, the resulting relation $M \equiv_{M}$ is identical to $M$.

Pf: (of lemma 15.3) (1) Let $M=(Q, \Sigma, \delta, s, F)$ be the DFA constructed as described above. then for any $x, y$ in $\Sigma^{*}$,

$$
x \equiv_{M \equiv} y \text { iff } \Delta([\varepsilon], x)=\Delta([\varepsilon], y) \text { iff }[x]=[y] \text { iff } x \equiv y .
$$

(2) Let $M=(Q, \Sigma, \delta, s, F)$ and let $M \equiv_{M}=\left(Q^{\prime}, \Sigma, \delta^{\prime}, s^{\prime}, F^{\prime}\right)$. Recall that

$$
\begin{aligned}
& {[x]=\left\{y \mid y \equiv_{M} x\right\}=\{y \mid \Delta(s, y)=\Delta(s, x)\}} \\
& Q^{\prime}=\left\{[x] \mid x \in \Sigma^{*}\right\}, \quad s^{\prime}=[\varepsilon], \quad F^{\prime}=\{[x] \mid x \in R\} \\
& \delta^{\prime}([x], a)=[x a] .
\end{aligned}
$$

Now let $f: Q$ '-> $Q$ be defined by $f([x])=\Delta(s, x)$.

1. By def., $[x]=[y]$ iff $\Delta(s, x)=\Delta(s, y)$, so $f$ is well-defined and 1-1. Since $M$ has no inaccessible state, $f$ is onto.
2. $\mathrm{f}\left(\mathrm{s}^{\prime}\right)=\mathrm{f}([\varepsilon])=\Delta(\mathrm{s}, \varepsilon)=\mathrm{s}$
3. $[x] \in F^{\prime}<=>x \in R<=>\Delta(s, x) \in F<=>f([x]) \in F$.
4. $f\left(\delta^{\prime}([x], a)\right)=f([x a])=\Delta(s, x a)=\delta(\Delta(s, x), a)=\delta(f([x]), a)$

By $1 \sim 4, f$ is an isomorphism from $M \equiv_{M}$ to $M$. QED

## RELATIONS B/T DFAS AND MYHILLNERODE RELATIONS

Theorem 15.4: R: a regular set over $\Sigma$. Then up to isomorphism of FAs, there is a 1-1 correspondence b/t DFAs w/o inaccessible states accepting R and Myhill-Nerode relations for R.
I.e., Different DFAs accepting R correspond to different Myhill-

Nerode relations for R, and vice versa.
We now show that there exists a coarsest Myhill-Neorde relation $\equiv_{\mathrm{R}}$ for any R, which corresponds to the unique minimal DFA for R.
Def 16.1: $\equiv_{1}, \equiv_{2}$ : two relations. If $\equiv_{1} \subseteq \equiv_{2}$ (i.e., for all $x, y, x$ $\equiv_{1} y=>X \equiv_{2} y$ ) we say $\equiv_{1}$ refines $\equiv_{2}$.
Note:1. If $\equiv_{1}$ and $\equiv{ }_{2}$ are equivalence relations, then $\equiv_{1}$ refines $\equiv_{2}$ iff every $\equiv_{1}$-class is included in $\mathrm{a} \equiv_{2}$-class.
2. The refinement relation on equivalence relations is a partial order. (since $\subseteq$ is ref, transitive and antisymmetric).

## THE REFINEMENT RELATION

Note:
3. If,$\equiv_{1} \subseteq \equiv_{2}$, we say $\equiv_{1}$ is the finer and $\equiv_{2}$ is the coarser of the two relations.
4. The finest equivalence relation on a set $U$ is the identity relation $I_{U}=\{(x, x) \mid x \in U\}$
5. The coarsest equivalence relation on a set $U$ is universal relation $U^{2}=\{(x, y) \mid x, y \in U\}$

Def. 16.1: R: a language over $\Sigma$ (possibly not regular). Define a relation $\equiv_{\mathrm{R}}$ over $\Sigma^{*}$ by

$$
x \equiv_{R} y \text { iff for all } z \in \Sigma^{*}(x z \in R<=>y z \in R)
$$

i.e., $x$ and $y$ are related iff whenever appending the same string to both of them, the resulting two strings are either both in R or both not in R .

## PROPERTIES OF $\equiv_{R}$

Lemma 16.2: Properties of $\equiv_{\mathrm{R}}$ :
$0 . \equiv_{R}$ is an equivalence relation over $\Sigma^{*}$.

1. $\equiv_{R}$ is right congruent
2. $\equiv_{R}$ refines $R$.
3. $\equiv_{R}$ the coarsest of all relations satisfying 0,1 and 2 .
[4. If $R$ is regular $=>\equiv_{R}$ is of finite index. ]
Pf: (0) : trivial; (4) immediate from (3) and theorem 15.2.
(1) $x \equiv_{R} y=>$ for all $z \in \Sigma^{*}(x z \in R<=>y z \in R)$

$$
\begin{aligned}
& =>\forall a \forall w(x a w \in R<=>\text { yaw } \in R) \\
& =>a\left(x a \equiv_{R} \text { ya }\right)
\end{aligned}
$$

(2) $x \equiv_{R} y \Rightarrow(x \in R<=>y \in R)$
(3) Let $\equiv$ be any relation satisfying $0 \sim 2$. Then

$$
\begin{aligned}
x \equiv & \equiv y \Rightarrow \forall z x z \equiv y z \quad---b y \text { ind. on |z| using property (1) } \\
& =>\forall z(x z \in R<=>y z \in R) \text {--- by }(2) \quad \Rightarrow x \equiv_{R} y .
\end{aligned}
$$

## MYHILL-NERODE THEOREM

Thorem16.3: Let R be any language over $\Sigma$. Then the following statements are equivalent:
(a) $R$ is regular;
(b) There exists a Myhill-Nerode relation for R;
(c) the relation $\equiv_{R}$ is of finite index.
pf: (a) => (b) : Let $M$ be any DFA for R. The construction $M \rightarrow \equiv_{M}$ produces a Myhill-Nerode relation for R.
(b) => (c): By lemma 16.2, any Myhill-Nerode relation for $R$ is of finite index and refines $R=>\equiv_{R}$ is of finite index.
$(\mathrm{C})=>(\mathrm{a})$ : If $\equiv_{R}$ is of finite index, by lemma 16.2, it is a Myhill-Nerode relation for R , and the construction $\equiv$ $\rightarrow M_{\equiv}$ produce a DFA for $R$.

## RELATIONS B/T $\equiv_{R}$ AND COLLAPSED <br> MACHINE

Note: 1 . Since $\equiv_{R}$ is the coarsest Myhill-Nerode relation for a regular set $R$, it corresponds to the DFA for R with the fewest states among all DFAs for R.
(i.e., let $M=(Q, \ldots)$ be any DFA for $R$ and $M=\left(Q^{\prime}, \ldots.\right)$ the DFA induced by
$\equiv_{R}$, where Q' $=$ the set of all $\equiv_{R^{\prime}}$-classes
$==>|Q|=\mid$ the set of $\equiv_{M_{M}}$-classes $|>=|$ the set of $\equiv_{R}$-classes | $=\left|Q^{\prime}\right|$.
Fact: $M=(Q, S, s, d, F)$ : a DFA for $R$ that has been collapsed (i.e., $M=M / \approx)$.
Then $\equiv_{R}=\equiv_{M}$ (hence $M$ is the unique DFA for $R$ with the fewest states).
pf: $x \equiv_{R} y$ iff $\forall z \in \Sigma^{*}(x z \in R<=>y z \in R)$
iff $\forall z \in \Sigma^{*}(\Delta(s, x z) \in F<=>\Delta(s, y z) \in F)$
iff $\forall z \in \Sigma^{*}(\Delta(\Delta(s, x), z) \in \mathrm{F} \ll \Delta(\Delta(\mathrm{s}, \mathrm{y}), \mathrm{z}) \in \mathrm{F})$
iff $\Delta(\mathrm{s}, \mathrm{x}) \approx \Delta(\mathrm{s}, \mathrm{y})$ iff $\Delta(\mathrm{s}, \mathrm{x})=\Delta(\mathrm{s}, \mathrm{y})-$ since $M$ is collapsed iff $X \equiv_{M} y \quad$ Q.E.D.

## AN APPLICATION OF THE MYHILLNERODE RELATION

- Can be used to determine whether a set R is regular by determining the number of $\equiv_{R}$-classes.
- Ex: Let $A=\left\{a^{n} b^{n} \mid n \geq 0\right\}$.

If $k \neq m=>a^{k}$ not $\equiv_{A} a^{m}$, since $a^{k} b^{k} \in A$ but $a^{m} b^{k} \notin A$.
Hence $\equiv_{A}$ is not of finite index => $A$ is not regular.
In fact $\equiv_{A}$ has the following $\equiv_{A}$-classes:

$$
\begin{aligned}
& \mathrm{G}_{\mathrm{k}}=\left\{\mathrm{a}^{\mathrm{k}}\right\}, \mathrm{k} \geq 0 \\
& \mathrm{H}_{\mathrm{k}}=\left\{\mathrm{a}^{\mathrm{n}+\mathrm{k}} \mathrm{~b}^{\mathrm{n}} \mid \mathrm{n} \geq 1\right\}, \mathrm{k} \geq 0 \\
& \mathrm{E}=\Sigma^{*}-\mathrm{U}_{\mathrm{k} \geq 0}\left(\mathrm{G}_{\mathrm{k}} U H_{\mathrm{k}}\right)=\Sigma^{*}-\left\{\mathrm{a}^{m} b^{n} \mid \mathrm{m} \geq \mathrm{n} \geq 0\right\}
\end{aligned}
$$

## UNIQUENESS OF MINIMAL NFAS

$\bigcirc$ Problem: Does the conclusion that minimal DFA accepting a language is unique apply to NFA as well ?

Ans : ?

## MINIMAL NFAS ARE NOT UNIQUE UP TO ISOMORPHISM

- Example: let $L=\{x 1 \mid x \in\{0,1\}\}^{*}$

1. What is the minimum number $k$ of states of all FAs accepting L?
Analysis: $k \neq 1$. Why ?


## COLLAPSING NFAS

- Minimal NFAs are not unique up to isomorphism
- Part of the Myhill-Nerode theorem generalize to NFAs based on the notion of bisimulation.
- Bisimulation:

Def: $M=\left(Q_{M}, \Sigma, \delta_{M}, S_{M}, F_{M}\right), N=\left(Q_{N}, \Sigma, \delta_{N}, S_{N}, F_{N}\right)$ : two NFAs,
$\approx:$ a binary relation from $Q_{M}$ to $Q_{N}$.
For $B \subseteq Q_{N}$, define $C_{z}(B)=\left\{p \in Q_{M} \mid \exists q \in B p \approx q\right\}$
For $A \subseteq Q_{M}$, define $C_{\approx}(A)=\left\{q \in Q_{N} \mid \exists P \in A \quad p \approx q\right\}$
Extend $\approx$ to subsets of $Q_{M}$ and $Q_{N}$ as follows:

```
A\approxB<=>}\mp@subsup{}{\mathrm{ def }}{}A\subseteq\mp@subsup{C}{\approx}{}(B)\mathrm{ and B}\subseteq\mp@subsup{C}{~}{\prime}(A
    iff}\forallp\inA\existsq\inB s.t. p \approxq and \forallq|B \existsp\inA s.t. p \approx
q
```



## BISIMULATION

- Def B.1: A relation $\approx$ is called a bisimulation if

1. $S_{M} \approx S_{N}$
2. if $\mathrm{p} \approx \mathrm{q}$ then $\forall \mathrm{a} \in \Sigma, \delta_{M}(\mathrm{p}, \mathrm{a}) \approx \delta_{N}(\mathrm{q}, \mathrm{a})$
3. if $p \approx q$ then $p \in F_{M}$ iff $q \in F_{N}$.

- $M$ and $N$ are bisimilar if there exists a bisimulation between them.
- For each NFA M, the bisimilar class of $M$ is the family of all NFAs that are bisimilar to M.
- Properties of bisimulaions:
1.Bisimulation is symmetric: if $\approx$ is a bisimulation $b / t M$ and $N$, then its reverse $\{(q, p) \mid p \approx q\}$ is a bisimulation $b / t N$ and $M$.

2. Bisimulation is transitive: $M \approx_{1} N$ and $N \approx_{2} P \Rightarrow M \approx_{1} \approx_{2} P$
3. The union of any nonempty family of bisimulation $b / t M$ and $N$ is a bisimulation $b / t M$ and $N$.

## PROPERTIES OF BISIMULATIONS

Pf: 1,2: direct from the definition.
(3): Let $\left\{\approx_{\mathfrak{i}} \mid \boldsymbol{i} \in I\right\}$ be a nonempty indexed set of bisimulations $b / t$ $M$ and $N$. Define $\approx=_{\text {def }} U_{i \in I} \approx{ }_{i}$.
Thus $p \approx q$ means $\exists i \in I p \approx_{i} q$.

1. Since $I$ is not empty, $S_{M} \approx_{i} S_{N}$ for some $i \in I$, hence $S_{M} \approx S_{N}$
2. If $p \approx q \Rightarrow \exists i \in I p \approx_{i} q \Rightarrow \delta_{M}(p, a) \approx_{i} \delta_{N}(q, a)=>\delta_{M}(p, a) \approx \delta_{N}(q, a)$
3. If $p \approx q=>p \approx_{i} q$ for some $i=>\left(p \in F_{M} \ll>q \in F_{N}\right)$

Hence $\approx$ is a bisimulation $b / t M$ and $N$.
Lem $B .3: \approx:$ a bisimulation $b / t M$ and $N$. If $A \approx B$, then for all $x$ in $\Sigma^{*}$, $\Delta(A, x) \approx \Delta(B, x)$.
pf: by induction on $|\mathrm{x}|$. Basis: 1. $\mathrm{x}=\varepsilon=>\Delta(\mathrm{A}, \varepsilon)=\mathrm{A} \approx \mathrm{B}=\Delta(\mathrm{B}, \varepsilon)$.
2. $x=a$ : since $A \subset C(B)$, if $p \in A \Rightarrow \exists q \in B$ with $p \approx q . \Rightarrow \delta_{M}(p, a) \subseteq$
$\left.C_{\sim}^{\approx}\left(\delta_{N}(q, a)\right) \subseteq C_{N}(B, a)\right)$.
By a symmetric argument, $\Delta_{N}(B, a) \subseteq C_{\approx}\left(\Delta_{M}(A, a)\right)$.
So $\left.\Delta_{M}(A, a) \approx \Delta_{N}(B, a)\right)$.

## BISIMILAR AUTOMATA ACCEPT THE SAME SET.

3. Ind. case: assume $\Delta_{M}(A, x) \approx \Delta_{N}(B, x)$. Then

$$
\Delta_{M}(A, x a)=\Delta_{M}\left(\Delta_{M}(A, x), a\right) \approx \Delta_{N}\left(\Delta_{N}(B, x), a\right)=\Delta_{N}(B, x a) \text {. Q.E.D. }
$$

Theorem B.4: Bisimilar automata accept the same set. Pf: assume $\approx:$ a bisimulation $b / t$ two NFAs $M$ and $N$.

Since $S_{M} \approx S_{N}=>\Delta_{M}\left(S_{M}, x\right) \approx \Delta_{N}\left(S_{N}, x\right)$ for all $x$. Hence for all $x, x \in L(M)<=>\Delta_{M}\left(S_{M}, x\right) \cap F_{M} \neq\{ \}<=>$ $\Delta_{N}\left(S_{N}, x\right) \cap F_{N} \neq\{ \} \Leftrightarrow x \in L(N)$. Q.E.D.

Def: $\approx:$ a bisimulation $b / t$ two NFAs $M$ and $N$
The support of $\approx$ in $M$ is the states of $M$ related by $\approx$ to some state of $N$, i.e., $\left\{p \in Q_{M} \mid p \approx q\right.$ for some $\left.q \in Q_{N}\right\}=C_{\approx}\left(Q_{N}\right)$.

## AUTOBISIMULATION

Lem B.5: A state of $M$ is in the support of all bisimulations involving $M$ iff it is accessible.
Pf: Let $\approx$ be any bisimulation $b / t M$ and another FA.
By def B.1(1), every start state of $M$ is in the support of $\approx$. By B.1(2), if $p$ is in the support of $\approx$, then every state in $\delta(p, a)$ is in the support of $\approx$. It follows by induction that every accessible state is in the support of $\approx$.
Conversely, since the relation B. $3=\{(p, p) \mid p$ is accessible $\}$ is a bisimulation from $M$ to $M$ and all inaccessible states of $M$ are not in the support of B.3. It follows that no inaccessible state is in the support of all bisimulations. Q.E.D.

Def. B.6: An autobisimulation is a bisimlation b/t an automaton and itself.

## PROPERTY OF AUTOBISIMULATIONS

Theorem B.7: Every NFA M has a coarsest autobisimulation $\equiv_{M}$, which is an equivalence relation.
Pf: let $B$ be the set of all autobisimulations on $M$.
$B$ is not empty since the identity relation $I_{M}=\{(p, p) \mid p$ in $Q\}$ is an autobisimulation.

1. let $\equiv_{M}$ be the union of all bisimualtions in B. By Lem B.2(3), $\equiv_{M}$ is also a bisimualtion on $M$ and belongs to $B$. So $\equiv_{M}$ is the largest (i.e., coarsest) of all relations in B .
2. $\equiv_{M}$ is ref. since for all state $p(p, p) \in I_{M} \subseteq \equiv_{M}$.

3 . $\equiv_{M}$ is sym. and tran. by Lem B.2(1,2).
4. By $2,3, \equiv_{M}$ is an equivalence relation on Q .

## FIND MINIMAL NFA BISIMILAR TO A NFA

$\bigcirc M=(Q, \Sigma, \delta, S, F):$ a NFA.

- Since accessible subautomaton of $M$ is bisimilar to $M$ under the bisimulation B.3, we can assume wlog that $M$ has no inaccessible states.
- Let $\equiv$ be $\equiv_{M}$, the maximal autobisimulation on $M$. for $p$ in $Q$, let $[p]=\{q \mid p \equiv q\}$ be the $\equiv$-class of $p$, and let $<$ be the relation relating $p$ to its $\equiv$-class [p], i.e.,

$$
<\subseteq \mathrm{Q} \times 2^{\mathrm{Q}}=_{\text {def }}\{(\mathrm{p},[\mathrm{p}]) \mid \mathrm{p} \text { in } \mathrm{Q}\}
$$

for each set of states $A \subseteq Q$, define $[A]=\{[p] \mid p$ in $A\}$. Then Lem $B .8$ : For all $A, B \subseteq Q$,
$=1 . A \subseteq C_{\equiv}(B)$ iff $[A] \subseteq[B], \quad 2 . A \equiv B$ iff $[A]=[B], \quad 3 . A<[A]$
pf:1. $A \subseteq C_{\equiv}(B)<=>\forall p$ in $A \forall q$ in $B$ s.t. $p \equiv q<=>[A] \subseteq[B]$
2. Direct from 1 and the fact that $A \equiv B$ iff $A \subseteq C_{\equiv}(B)$ and $B \subseteq C_{\equiv}(A)$
3. $p \in A=>p \in[p] \in[A], B \in[A]=>\exists p \in A$ with $p \ll[p]=B$.

## MINIMAL NFA BISIMILAR TO AN NFA (CONT'D)

- Now define $M^{\prime}=\left\{Q^{\prime}, S, d^{\prime}, S^{\prime}, F^{\prime}\right\}=M / \equiv$ where
- $Q^{\prime}=[Q]=\{[p] \mid p \in Q\}$,
- $S^{\prime}=[S]=\{[p] \mid p \in S\}, F^{\prime}=[F]=\{[p] \mid p \in F\}$ and
- $\delta^{\prime}([\mathrm{p}], \mathrm{a})=[\delta(\mathrm{p}, \mathrm{a})]$,
- Note that $\delta^{\prime}$ is well-defined since

$$
\begin{aligned}
& {[p]=[q]=>p \equiv q=>(p, a) \equiv \delta(q, a)=>[\delta(p, a)]=[\delta(q, a)]} \\
& \Rightarrow \delta^{\circ}([p], a)=\delta^{6}([q], a)
\end{aligned}
$$

Lem B.9: The relation < is a bisimulation $b / t M$ and $M^{\prime}$.
pf: 1. By B.8(3): $S \subseteq[S]=S^{\prime}$.
2. If $p<[q]=>p \equiv q=>\delta(p, a) \equiv \delta(q, a)$

$$
=>[\delta(p, a)]=[\delta(q, a)]=>\delta(p, a)<[\delta(p, a)]=[\delta(q, a)] .
$$

3. if $p \in F=>[p] \in[F]=F$ ' and
if $[p] \in F^{\prime}=[F]=>\exists q \in F$ with $[q]=[p]=>p \equiv q \Rightarrow p \in F$.
By theorem B.4, $M$ and $M^{\prime}$ accept the same set.

## AUTOBISIMULATION

Lem B.10: The only autobisimulation on $M^{\prime}$ is the identity relation $=$.
Pf: Let ~ be an autobisimulation of M’. By Lem B.2(1,2), the relation « ~» is a bisimulation from $M$ to itself.

1. Now if there are $[\mathrm{p}] \neq[\mathrm{q}]$ (hence not $\mathrm{p} \equiv \mathrm{q}$ ) with $[\mathrm{p}] \sim[q]$
=> p < [p] ~ [q] » q => p < ~ » q => « ~» $\not \subset \equiv, ~ a ~ c o n t r a d i c t i o n ~!. ~$ On the other hand, if [p] not $\sim[p]$ for some [p] => for any [q],
[ p$]$ not $\sim[q]$ (by 1. and the premise)
=> p not («~») q for any q ( p « [p] [q] » q )
=> $p$ is not in the support of «~»
=> $p$ is not accessible, a contradiction.

## QUOTIENT AUTOMATA ARE MINIMAL

## FAS

- Theorem B11: M: an NFA w/t inaccessible states, $\equiv$ : maximal autobisimulation on $M$. Then $M^{\prime}=M / \equiv$ is the minimal automata bisimilar to to $M$ and is unique up to isomorphism.
pf: N : any NFA bisimilar to M w/t inaccessible states.
$N^{\prime}=N / \equiv_{N}$ where $\equiv_{N}$ is the maximal autobisimulation on $N$. => $M^{\prime}$ bisimiar to $M$ bisimilar to $N$ bisimiar to $N^{\prime}$.
Let $\approx$ be any bisimulation $b / t M^{\prime}$ and $N^{\prime}$.
Under $\approx$, every state $p$ of $M^{\prime}$ has at least on state $q$ of $N^{\prime}$ with $p \approx$ $q$ and every state $q$ of $N$ ' has exactly one state $p$ of $M^{\prime}$ with $p \approx$ q.
$\mathrm{O} / \mathrm{w} \mathrm{p} \approx \mathrm{q} \approx^{-1} \mathrm{p}^{\prime} \neq \mathrm{p}=>\approx \approx^{-1}$ is a non-identity autobisimulation on $M$, a contradiciton!.
Hence $\approx$ is $1-1$. Similarly, $\approx^{-1}$ is $1-1=>\approx$ is $1-1$ and onto and hence is an isomorphism b/t M' and N'. Q.E.D.


## ALGORITHM FOR COMPUTING MAXIMAL BISIMULATION

- a generalization of that of Lec 14 for finding equivalent states of DFAs
The algorithm: Find maximal bisimulation of two NFAs $M$ and $N$
- 1. write down a table of all pairs (p,q) of states, initially
- unmarked
- 2. mark ( $p, q$ ) if $p \in F_{M}$ and $q \notin F_{N}$ or vice versa.
- 3. repeat until no more change occur: if $(p, q)$ is unmarked and if for some $a \in \Sigma$, either

$$
\exists p^{\prime} \in \delta_{M}(p, a) \text { s.t. } \forall q^{\prime} \in \delta_{N}(q, a),\left(p^{\prime}, q^{\prime}\right) \text { is marked, or }
$$

$\exists q^{\prime} \in \delta_{N}(q, a)$ s.t. $\forall p^{\prime} \in \delta_{M}(p, a),\left(p^{\prime}, q^{\prime}\right)$ is marked,
then mark ( $p, q$ ).

- 4. define $p \equiv q$ iff $(p, q)$ are never marked.
- 5. If $S_{M} \equiv S_{N}=>\equiv$ is the maximal bisimulation
o/w M and N has no bisimulation.

