

**Course Name:  
Analysis and  
Design of  
Algorithms**

# Topics to be covered

- *NP*-Completeness
- Travelling salesman problem
- P and NP

# Traveling Salesperson Problem

- You have to visit  $n$  cities
- You want to make the shortest trip
- How could you do this?
- What if you had a machine that could guess?

# Non-deterministic polynomial time

- Deterministic Polynomial Time: The TM takes at most  $O(n^c)$  steps to accept a string of length  $n$
- Non-deterministic Polynomial Time: The TM takes at most  $O(n^c)$  steps on **each computation path** to accept a string of length  $n$

# The Class P and the Class NP

- $P = \{ L \mid L \text{ is accepted by a } \text{deterministic} \text{ Turing Machine in polynomial time} \}$
- $NP = \{ L \mid L \text{ is accepted by a } \text{non-deterministic} \text{ Turing Machine in polynomial time} \}$
- They are sets of languages

# P vs NP?

- Are non-deterministic Turing machines really more powerful (efficient) than deterministic ones?
- Essence of P vs NP problem

# Does Non-Determinism matter?

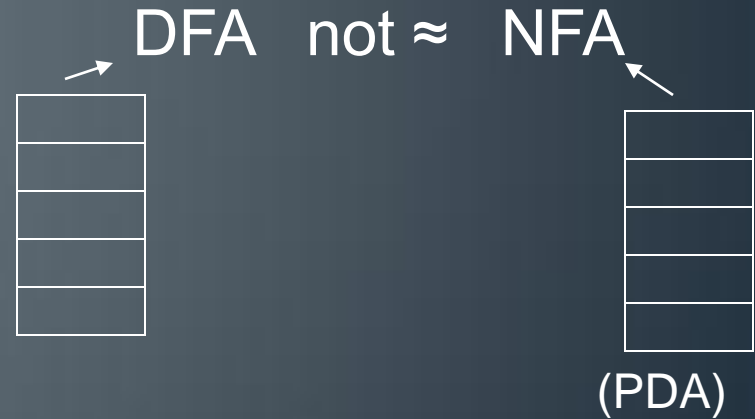
Finite Automata?

No!

DFA  $\approx$  NFA

Push Down Automata?

Yes!



# P = NP?

- No one knows if this is true
- How can we make progress on this problem?



# Progress

- $P = NP$  if every NP problem has a deterministic polynomial algorithm
- We could find an algorithm for every NP problem
- Seems... hard...
  
- We could use polynomial time reductions to find the “hardest” problems and just work on those

# Reductions

- Real world examples:
  - Finding your way around the city reduces to reading a map
  - Traveling from Richmond to Cville reduces to driving a car
  - Other suggestions?

# Polynomial time reductions

- PARTITION = {  $n_1, n_2, \dots, n_k$  | we can split the integers into two sets which sum to half }
- SUBSET-SUM = {  $\langle n_1, n_2, \dots, n_k, m \rangle$  | there exists a subset which sums to  $m$  }
  
- 1) If I can solve SUBSET-SUM, how can I use that to solve an instance of PARTITION?
- 2) If I can solve PARTITION, how can I use that to solve an instance of SUBSET-SUM?

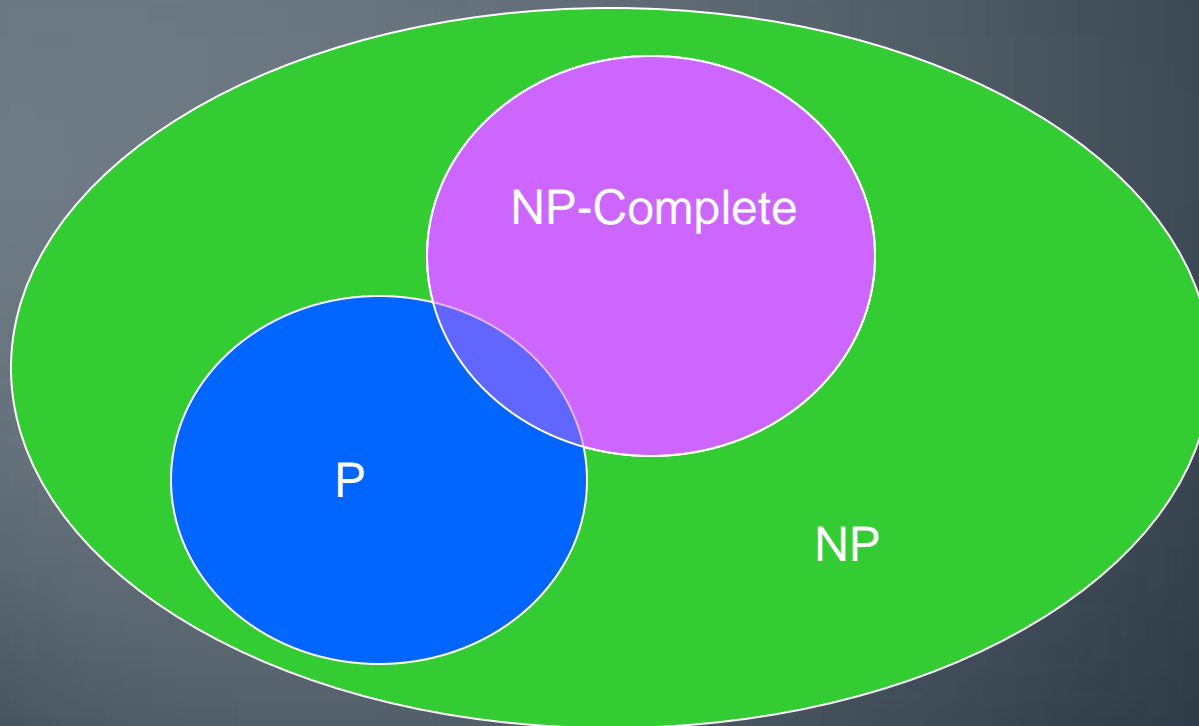
# Polynomial Reductions

- 1) Partition REDUCES to Subset-Sum
  - $\text{Partition} <_p \text{Subset-Sum}$
- 2) Subset-Sum REDUCES to Partition
  - $\text{Subset-Sum} <_p \text{Partition}$
- Therefore they are equivalently hard

- How long does the reduction take?
- How could you take advantage of an exponential time reduction?

# NP-Completeness

- How would you define NP-Complete?
- They are the “hardest” problems in NP

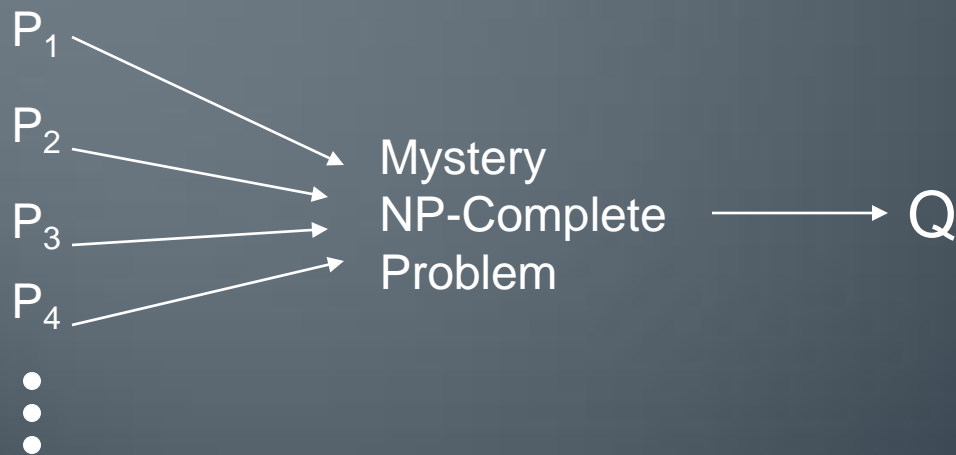


# Definition of NP-Complete

- Q is an NP-Complete problem if:
  - 1) Q is in NP
  - 2) every other NP problem polynomial time reducible to Q

# Getting Started

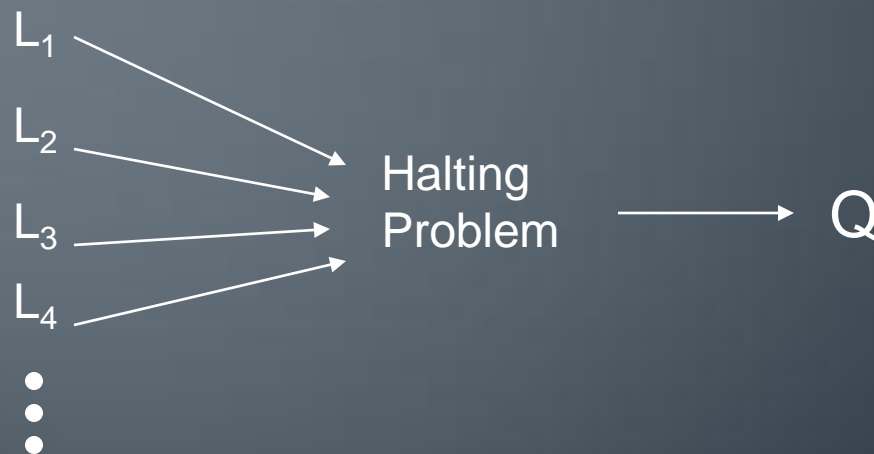
- How do you show that EVERY NP problem reduces to Q?
- One way would be to already have an NP-Complete problem and just reduce from that





# Reminder: Undecidability

- How do you show a language is undecidable?
- One way would be to already have an undecidable problem and just reduce from that



# SAT

- $\text{SAT} = \{ f \mid f \text{ is a Boolean Formula with a satisfying assignment} \}$

$$\phi = (x_1 \vee x_1 \vee x_2) \wedge (\overline{x_1} \vee \overline{x_2} \vee \overline{x_2}) \wedge (\overline{x_1} \vee x_2 \vee x_2)$$

- Is SAT in NP?

# Cook-Levin Theorem (1971)

- SAT is NP-Complete

If you want to see the proof it is Theorem 7.37 in Sipser (assigned reading!) or you can take CS 660 – Graduate Theory. You are not responsible for knowing the proof.

# 3-SAT

- $3\text{-SAT} = \{ f \mid f \text{ is in Conjunctive Normal Form, each clause has exactly 3 literals and } f \text{ is satisfiable} \}$
- 3-SAT is NP-Complete
- (2-SAT is in P)

# NP-Complete

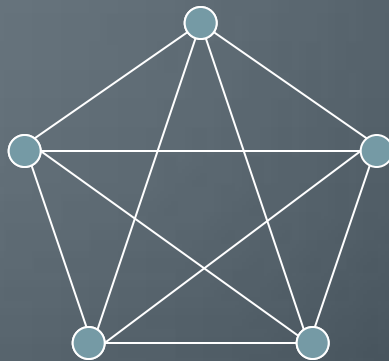
- To prove a problem is NP-Complete show a polynomial time reduction from 3-SAT
- Other NP-Complete Problems:
  - PARTITION
  - SUBSET-SUM
  - CLIQUE
  - HAMILTONIAN PATH (TSP)
  - GRAPH COLORING
  - MINESWEEPER (and many more)

# NP-Completeness Proof Method

- To show that Q is NP-Complete:
- 1) Show that Q is in NP
- 2) Pick an instance, R, of your favorite NP-Complete problem (ex:  $\Phi$  in 3-SAT)
- 3) Show a polynomial algorithm to transform R into an instance of Q

# Example: Clique

- $\text{CLIQUE} = \{ \langle G, k \rangle \mid G \text{ is a graph with a clique of size } k \}$
- A clique is a subset of vertices that are all connected
- Why is  $\text{CLIQUE}$  in NP?

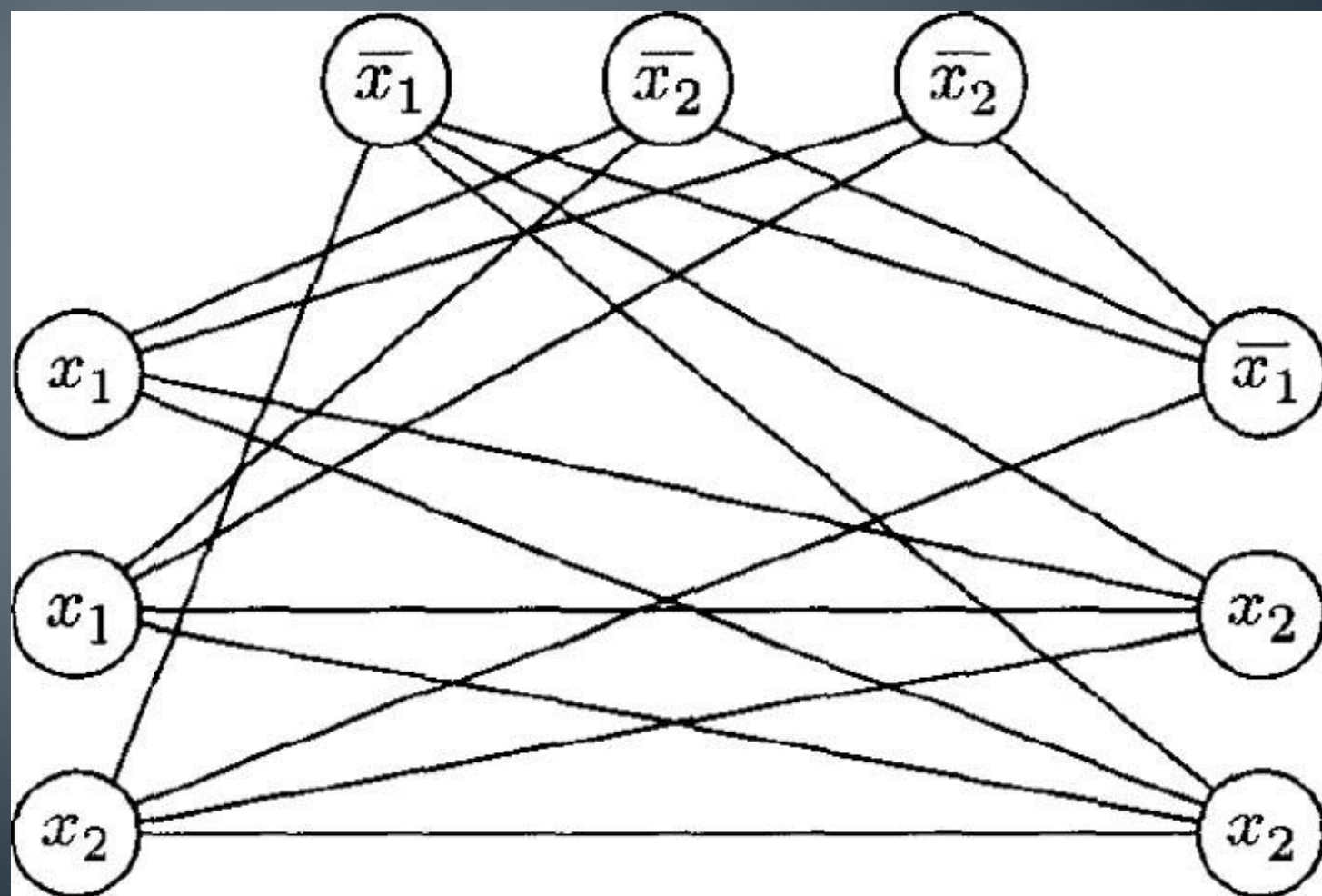


# Reduce 3-SAT to Clique

- Pick an instance of 3-SAT,  $\Phi$ , with  $k$  clauses
- Make a vertex for each literal
- Connect each vertex to the literals in other clauses that are not the negation
- Any  $k$ -clique in this graph corresponds to a satisfying assignment



$$\phi = (x_1 \vee \bar{x}_1 \vee x_2) \wedge (\bar{x}_1 \vee \bar{x}_2 \vee \bar{x}_2) \wedge (\bar{x}_1 \vee x_2 \vee x_2)$$



# Example: Independent Set

- INDEPENDENT SET = {  $\langle G, k \rangle$  | where  $G$  has an independent set of size  $k$  }
- An independent set is a set of vertices that have no edges
- How can we reduce this to clique?

# Independent Set to CLIQUE

- This is the *dual problem*!

